

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

SWISS HELVETIA FUND, INC.

Form NSAR-B

March 01, 2013

PAGE 1
000 B000000 12/31/2012
000 C000000 0000813623
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 THE SWISS HELVETIA FUND, INC.
001 B000000 811-05128
001 C000000 8887947700
002 A000000 1270 AVENUE OF THE AMERICAS SUITE 400
002 B000000 NEW YORK
002 C000000 NY
002 D010000 10020
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
007 C010100 1
008 A000001 HOTTINGER CAPITAL CORP.
008 B000001 A
008 C000001 801-30025
008 D010001 NEW YORK
008 D020001 NY
008 D030001 10020
010 A000001 CITI FUND SERVICES OHIO, INC.
010 B000001 085-11408
010 C010001 COLUMBUS
010 C020001 OH
010 C030001 43219
012 A000001 AMERICAN STOCK TRANSFER & TRUST COMPANY
012 B000001 84-00416
012 C010001 NEW YORK
012 C020001 NY
012 C030001 10038
013 A000001 DELOITTE & TOUCHE LLP
013 B010001 PHILADELPHIA
013 B020001 PA
013 B030001 19103
014 A000001 HOTTINGER & CIE SA
014 B000001 8-062959
015 A000001 CITIBANK, N.A.
015 B000001 C
015 C010001 New York
015 C020001 NY
015 C030001 10043
015 E040001 X
PAGE 2
018 000000 Y
019 A000000 N
019 B000000 0
020 A000001 BRYAN GARNIER SECURITIES, LLC
020 B000001 90-0519662
020 C000001 110

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

020 A000002 MERRILL LYNCH, INC.
 020 B000002 13-2674085
 020 C000002 97
 020 A000003 BANK AM BELLEVUE
 020 C000003 92
 020 A000004 MAINFIRST BANK
 020 C000004 89
 020 A000005 HOTTINGER & CIE SA
 020 C000005 84
 020 A000006 VONTOBEL SECURITIES LTD
 020 B000006 13-4350122
 020 C000006 84
 020 A000007 HELVEA INC.
 020 B000007 98-0511107
 020 C000007 82
 020 A000008 MORGAN STANLEY
 020 B000008 97-1671384
 020 C000008 81
 020 A000009 KEPLER EQUITIES
 020 C000009 80
 020 A000010 DEUTSCHE BANK
 020 B000010 13-2730828
 020 C000010 43
 021 000000 888
 022 A000001 KEPLER EQUITIES
 022 C000001 0
 022 D000001 5766
 022 A000002 Zurmont Madison Private Equity LP
 022 C000002 2003
 022 D000002 0
 022 A000003 Ixodes AG
 022 C000003 618
 022 D000003 0
 022 A000004 SelFrag AG
 022 C000004 83
 022 D000004 0
 022 A000005 Aravis Biotech II
 022 C000005 52
 022 D000005 0
 022 A000006 MERRILL LYNCH & CO., INC.
 022 B000006 13-2740599
 022 C000006 0
 022 D000006 1
 023 C000000 2756
 023 D000000 5767

PAGE 3

024 000000 N
 026 A000000 N
 026 B000000 Y
 026 C000000 N
 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 028 A010000 0
 028 A020000 0
 028 A030000 0
 028 A040000 0
 028 B010000 0

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

028	B020000	0
028	B030000	0
028	B040000	0
028	C010000	0
028	C020000	0
028	C030000	0
028	C040000	0
028	D010000	0
028	D020000	0
028	D030000	0
028	D040000	0
028	E010000	0
028	E020000	0
028	E030000	0
028	E040000	0
028	F010000	0
028	F020000	0
028	F030000	0
028	F040000	0
028	G010000	0
028	G020000	0
028	G030000	0
028	G040000	0
028	H000000	0
030	A000000	0
030	B000000	0.00
030	C000000	0.00
031	A000000	0
031	B000000	0
032	000000	0
033	000000	0
035	000000	0
036	B000000	0
038	000000	0
042	A000000	0
	PAGE	4
042	B000000	0
042	C000000	0
042	D000000	0
042	E000000	0
042	F000000	0
042	G000000	0
042	H000000	0
043	000000	0
044	000000	0
045	000000	Y
046	000000	N
047	000000	Y
048	000000	0.000
048	A010000	60000
048	A020000	1.000
048	B010000	40000
048	B020000	0.900
048	C010000	100000
048	C020000	0.800
048	D010000	100000
048	D020000	0.700
048	E010000	100000
048	E020000	0.650
048	F010000	100000
048	F020000	0.600
048	G010000	100000

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

048 G020000 0.550
 048 H010000 200000
 048 H020000 0.500
 048 I010000 0
 048 I020000 0.000
 048 J010000 0
 048 J020000 0.000
 048 K010000 800000
 048 K020000 0.450
 049 000000 N
 050 000000 N
 051 000000 N
 052 000000 N
 053 A000000 N
 054 A000000 Y
 054 B000000 N
 054 C000000 N
 054 D000000 N
 054 E000000 N
 054 F000000 N
 054 G000000 N
 054 H000000 Y
 054 I000000 N
 054 J000000 Y
 054 K000000 N
 PAGE 5
 054 L000000 N
 054 M000000 Y
 054 N000000 N
 054 O000000 N
 055 A000000 N
 055 B000000 N
 056 000000 N
 057 000000 N
 058 A000000 N
 059 000000 Y
 060 A000000 N
 060 B000000 N
 061 000000 0
 062 A000000 N
 062 B000000 0.0
 062 C000000 0.0
 062 D000000 0.0
 062 E000000 0.0
 062 F000000 0.0
 062 G000000 0.0
 062 H000000 0.0
 062 I000000 0.0
 062 J000000 0.0
 062 K000000 0.0
 062 L000000 0.0
 062 M000000 0.0
 062 N000000 0.0
 062 O000000 0.0
 062 P000000 0.0
 062 Q000000 0.0
 062 R000000 0.0
 063 A000000 0
 063 B000000 0.0
 066 A000000 Y
 066 B000000 N
 066 C000000 Y

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

066 D000000 N
066 E000000 N
066 F000000 N
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 Y
069 000000 N
070 A010000 Y
070 A020000 N
070 B010000 Y
070 B020000 N
070 C010000 Y
070 C020000 N
070 D010000 Y

PAGE 6

070 D020000 N
070 E010000 N
070 E020000 N
070 F010000 N
070 F020000 N
070 G010000 N
070 G020000 N
070 H010000 N
070 H020000 N
070 I010000 N
070 I020000 N
070 J010000 Y
070 J020000 Y
070 K010000 Y
070 K020000 Y
070 L010000 Y
070 L020000 Y
070 M010000 Y
070 M020000 Y
070 N010000 Y
070 N020000 N
070 O010000 Y
070 O020000 N
070 P010000 N
070 P020000 N
070 Q010000 N
070 Q020000 N
070 R010000 N
070 R020000 N

071 A000000 225076
071 B000000 235060
071 C000000 371786
071 D000000 61
072 A000000 12
072 B000000 275
072 C000000 10142
072 D000000 0
072 E000000 0
072 F000000 2957
072 G000000 273
072 H000000 0
072 I000000 31
072 J000000 93
072 K000000 0
072 L000000 94
072 M000000 896

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

072 N000000 0
 072 O000000 0
 072 P000000 0
 072 Q000000 106
 072 R000000 71

PAGE 7

072 S000000 481
 072 T000000 0
 072 U000000 0
 072 V000000 0
 072 W000000 441
 072 X000000 5443
 072 Y000000 0
 072 Z000000 4974
 072AA000000 1165
 072BB000000 0
 072CC010000 42069
 072CC020000 0
 072DD010000 1951
 072DD020000 0
 072EE000000 186
 073 A010000 0.0600
 073 A020000 0.0000
 073 B000000 0.0100
 073 C000000 0.0000
 074 A000000 387
 074 B000000 0
 074 C000000 0
 074 D000000 0
 074 E000000 7673
 074 F000000 378387
 074 G000000 0
 074 H000000 0
 074 I000000 14322
 074 J000000 0
 074 K000000 0
 074 L000000 1365
 074 M000000 762
 074 N000000 402896
 074 O000000 0
 074 P000000 363
 074 Q000000 0
 074 R010000 0
 074 R020000 0
 074 R030000 0
 074 R040000 253
 074 S000000 0
 074 T000000 402280
 074 U010000 30969
 074 U020000 0
 074 V010000 12.99
 074 V020000 0.00
 074 W000000 0.0000
 074 X000000 540
 074 Y000000 0
 075 A000000 0
 075 B000000 376693

PAGE 8

076 000000 11.29
 077 A000000 Y
 077 B000000 Y
 077 C000000 N

Edgar Filing: SWISS HELVETIA FUND, INC. - Form NSAR-B

077 D000000 N
077 E000000 N
077 F000000 N
077 G000000 N
077 H000000 N
077 I000000 N
077 J000000 N
077 K000000 N
077 L000000 N
077 M000000 N
077 N000000 N
077 O000000 N
077 P000000 N
077 Q010000 N
077 Q020000 N
077 Q030000 N
078 000000 N
080 A000000 ICl Mutual Insurance Company
080 C000000 750
081 A000000 N
081 B000000 0
082 A000000 Y
082 B000000 25
083 A000000 N
083 B000000 0
084 A000000 N
084 B000000 0
085 A000000 Y
085 B000000 N
086 A010000 1167
086 A020000 12344
086 B010000 0
086 B020000 0
086 C010000 0
086 C020000 0
086 D010000 0
086 D020000 0
086 E010000 0
086 E020000 0
086 F010000 0
086 F020000 0
087 A010000 THE SWISS HELVETIA FUND, INC.
087 A020000 870875101
087 A030000 SWZ
088 A000000 N
088 B000000 N
088 C000000 N
PAGE 9
088 D000000 N
SIGNATURE SCOTT RHODES
TITLE ASSISTANT TREASURER