

Stouffer Stephen R
Form 4
November 21, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Stouffer Stephen R

2. Issuer Name and Ticker or Trading Symbol
TYSON FOODS INC [TSN]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

____ Director _____ 10% Owner
 Officer (give title below) _____ Other (specify below)

2200 W. DON TYSON PARKWAY

08/09/2017

President Fresh Meats

(Street)

4. If Amendment, Date Original Filed (Month/Day/Year)

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SPRINGDALE, AR 72762

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Class A Common Stock | 08/09/2017 | | G | | 350 | D | \$ 0 |
| | | | | | 49,074.6693 | D | |
| | | | | | (1) | | |
| Class A Common Stock | 09/19/2017 | | J(2) | | 242.041 | A | \$ 0 |
| | | | | | 49,316.7103 | D | |
| | | | | | (1) | | |
| Class A Common Stock | 11/16/2017 | | J(3) | | 177.065 | A | \$ 0 |
| | | | | | 2,910.1153 | I | |
| | | | | | | | Employee Stock Purchase Plan |
| | 11/17/2017 | | M(4) | | 19,587.146 | A | \$ 0 |
| | | | | | | D | |

Edgar Filing: Stouffer Stephen R - Form 4

| | | | | | | | |
|----------------------------|------------|------|-----------|---|---------------|--------------------|---|
| Class A Common Stock | | | | | | 68,903.8563 (1) | |
| Class A Common Stock | 11/17/2017 | F(5) | 8,217 | D | \$ 0 | 60,686.8563 (1) | D |
| Class A Common Stock | 11/17/2017 | F(6) | 2,977 | D | \$ 0 | 57,709.8563 (1) | D |
| Class A Common Stock | 11/17/2017 | A(7) | 5,167.035 | A | \$ 0 | 62,876.8913 (8) | D |
| Class A Common Stock | 11/21/2017 | S | 7,754 | D | \$ 79.5453 | 55,122.8913 (8) | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title Underlying Instrument (Instr. 3) |
|--|--|--------------------------------------|--|--------------------|---|------------|--|------------------|---|
| | | | | | V | (A) | (D) | Date Exercisable | |
| Performance Shares | (4) | 11/17/2017 | | M | | 21,595 | (4) | (4) | Class A Common Stock |
| Non-Qualified Stock Options (Right to Buy) | \$ 77.97 | 11/17/2017 | | A(9) | | 22,100 | 11/17/2018 | 11/17/2027 | Class A Common Stock |
| Performance Shares | (10) | 11/21/2017 | | A | | 20,668.142 | (10) | (10) | Class A Common Stock |

Reporting Owners

Reporting Owner Name / Address

Relationships

Reporting Owners

Edgar Filing: Stouffer Stephen R - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.