### Edgar Filing: HEMISPHERX BIOPHARMA INC - Form 5

#### HEMISPHERX BIOPHARMA INC

Form 5

January 26, 2007

**OMB APPROVAL** FORM 5

**OMB** 3235-0362 Number:

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ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940

Form 4

Transactions Reported

1. Name and Address of Reporting Person \* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer CARTER WILLIAM A Symbol HEMISPHERX BIOPHARMA INC (Check all applicable) [HEB] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) \_X\_ Director \_\_X\_\_ 10% Owner \_X\_ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2004 **CEO** 1617 JFK BLVD. SUITE 660 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year)

PHILADELPHIA, Â PAÂ 19103

\_X\_ Form Filed by One Reporting Person Form Filed by More than One Reporting

(check applicable line)

(City)	(State)	Zip) Table	e I - Non-Deri	vative Secu	urities	Acqui	red, Disposed of	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned at end of Issuer's	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Amount	(A) or (D)	Price	Fiscal Year (Instr. 3 and 4)		
Common Stock	01/09/2004	Â	G5	10,000	D	\$0	288,030	D	Â
Common Stock	02/03/2004	Â	G5	17,000	D	\$0	288,030	D	Â
Common Stock	02/18/2004	Â	G5	12,000	D	\$0	288,030	D	Â
Common Stock	03/31/2004	Â	G5	12,000	D	\$0	288,030	D	Â

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Common Stock 04/28/2004 Â G5 12,000 D \$0 288,030 D Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

**SEC 2270** 

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

D Se	Title of erivative eccurity nstr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securiti (Instr. 3 and 4)	
						(A)	(D)	Date Exercisable	Expiration Date	Title	Amou Numb Share
О	ptions	\$ 2.6	09/08/2004	Â	A4	167,000	Â	09/08/2004	09/08/2014	Common Stock	167,
O	ptions	\$ 2.6	12/08/2004	Â	A4	153,000	Â	12/07/2004	12/07/2014	Common Stock	153,

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

CARTER WILLIAM A 1617 JFK BLVD SUITE 660

X Â X Â CEO Â

PHILADELPHIA, Â PAÂ 19103

### **Signatures**

William A. 01/26/2007

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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