Edgar Filing: Amber Road, Inc. - Form SC 13G/A

Amber Road, Inc. Form SC 13G/A January 29, 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
SCHEDULE 13G
Under the Securities Exchange Act of 1934

(Amendment No. 2)*

Amber Road, Inc. (Name of Issuer)

Common Stock, \$0.001 par value per share (Title of Class of Securities)

02318Y108 (CUSIP Number)

December 31, 2015
(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

oRule 13d-1(b) xRule 13d-1(c) oRule 13d-1(d)

*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

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Names Of Reporting Persons

I.R.S. Identification No. Of Above Persons (Entities Only)

1.

Gagnon Securities LLC

2. check the appropriate box if a (a) o group (b) x
3. sec use only

citizenship or place of organization

4.

Delaware Limited Liability Company

shares	f 5. sole voting power 6. shared voting power ly 7. sole dispositive power	0* 1,656,226 ⁵ 0*		
each reporting	8. shared dispositive power	1,778,526		
person with:				
9.	aggregate amount beneficially owned by each reporting person	1,778,526*		
	check box if the aggregate amount in row (9) excludes certain shares (See Instructions)	o		
11	percent of class represented by amount in row (9)	6.8%*		
1/	type of reporting person (See IA, BD Instructions)			

^{*} As of January 25, 2016

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Names Of Reporting Persons

I.R.S. Identification No. Of Above Persons (Entities Only)

1.

Neil Gagnon

check the appropriate box if a (a) o group (b) x
sec use only
citizenship or place of organization

4.

USA

shares	5. sole voting power6. shared voting powery 7. sole dispositive power	238,195* 2,503,334* 238,195*
each reporting	8. shared dispositive power	2,638,029
person wit	h:	
9. d	aggregate amount beneficially owned by each reporting person check box if the aggregate amount in	2,876,224
10.	row (9) excludes certain shares (See Instructions)	
11 *	percent of class represented by amount in row (9)	11.0%
	type of reporting person (See IN Instructions)	

^{*} As of January 25, 2016

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Item 1.

(a) Name of Issuer: Amber Road, Inc.

Address of

 ${\rm (b)} \frac{{\rm Issuer's\ Principal}}{{\rm Executive}} {\rm One\ Meadowlands\ Plaza}$

Offices:

East Rutherford, NJ 07073

Item 2.

Name of Person Filing:

As of January 25, 2016, Neil Gagnon has sole voting and dispositive power over 238,195 shares of the Issuer's Common Stock. In addition, as of January 25, 2016, Mr. Gagnon has shared voting power over 2,503,334 shares of the Issuer's Common Stock and shared dispositive power over 2,638,029 shares of the Issuer's Common Stock.

Mr. Gagnon is the managing member and principal owner of Gagnon Securities LLC ("GS"), an investment adviser registered with the U.S. Securities and Exchange Commission ("SEC") under the Investment Advisers Act of 1940, as amended (the "Advisers Act"), and a registered broker-dealer, in its role as investment manager to several customer accounts, foundations, partnerships and trusts (collectively, the "Accounts") to which it furnishes investment advice. As of January 25, 2016, Mr. Gagnon and GS may be deemed to share voting power with respect to 1,656,226 shares of Common Stock held in the Accounts and dispositive power with respect to 1,778,526 shares of Common Stock held in the Accounts. GS and Mr. Gagnon expressly disclaim beneficial ownership of all securities held in the Accounts.

Mr. Gagnon is also the Chief Executive Officer of Gagnon Advisors, LLC ("Gagnon Advisors"), an investment adviser registered with the SEC under the Advisers Act. As of January 25, 2016, Mr. Gagnon and Gagnon Advisors, in its role as investment manager to Gagnon Investment Associates, LLC ("GIA"), a private investment fund, may be deemed to share voting and dispositive power with respect to the 734,521 shares of the Issuer's Common Stock held by GIA. GS and Mr. Gagnon expressly disclaim beneficial ownership of all securities held by GIA.

(c) Address of Principal Business Office or, if none, Residence: 1370 Ave. of the Americas, Suite 2400

New York, NY 10019

Gagnon Securities LLC: Delaware Limited Liability Company (d) Citizenship:

Neil Gagnon: USA

(e) Title of Class of Securities: Common Stock, \$0.001 par value per share

(f) CUSIP Number: 02318Y108

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Item 3. If this statement is filed pursuant to $\S\S240.13d-1(b)$ or 13d-2(b) or (c), check whether the person filing is a:

(a)	O	Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
(b)	0	Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
(c)	o	Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
(d)	o	Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8).
(e)	0	An investment adviser in accordance with §240.13d-1(b)(1)(ii)(E);
(f)	o	An employee benefit plan or endowment fund in accordance with §240.13d-1(b)(1)(ii)(F);
(g)	0	A parent holding company or control person in accordance with § 13d-1(b)(1)(ii)(G);
(h)	o	A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
(i)	o	A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15U.S.C. 80a-3);
(j)	O	Group, in accordance with §240.13d-1(b)(1)(ii)(J).

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Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

As of January 25, 2016, the aggregate number and percentage of the class of securities of the issuer identified in Item 1 was as follows:

(a) Amount beneficially

Gagnon Securities LLC: 1,778,526

owned:

Neil Gagnon: 2,876,224

Gagnon Securities LLC: 6.77%

Percent of class:

Neil Gagnon: 10.95%

Calculation of percentage of beneficial ownership is based on 26,255,353 shares of the Issuer's Common Stock outstanding as reported on the Issuer's Form 10-Q filed on

November 9, 2015.

(b) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote: Gagnon Securities LLC: 0

Neil Gagnon: 238,195

Gagnon Securities LLC: 1,656,226 (ii) Shared power to vote or to direct the vote:

Neil Gagnon: 2,503,334

(iii) Sole power to dispose or to direct the disposition of: Gagnon Securities LLC: 0

Neil Gagnon: 238,195

(iv) Shared power to dispose or to direct the disposition of: Gagnon Securities LLC: 1,778,526

Neil Gagnon: 2,638,029

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As of December 31, 2015, the aggregate number and percentage of the class of securities of the issuer identified in Item 1 was as follows:

Amount

(c) beneficial Gagnon Securities LLC: 1,449,548

owned:

Neil Gagnon: 2,218,477

Percent of class:

Gagnon Securities LLC: 5.52%

Neil Gagnon: 8.45%

Calculation of percentage of beneficial ownership is based on 26,255,353 shares of the Issuer's Common Stock outstanding as reported on the Issuer's Form 10-O filed on November 9, 2015.

(d) Number of shares as to which the person has:

Sole power

to vote or (i)

to direct

Gagnon Securities LLC: 0

the vote:

Neil Gagnon: 181,101

Shared

power to

(ii) vote or to

Gagnon Securities LLC: 1,342,154

direct the

vote:

Neil Gagnon: 1,917,840

Sole power

to dispose

(iii) or to direct Gagnon Securities LLC: 0

disposition

of:

Neil Gagnon: 181,101

(iv) Shared

Gagnon Securities LLC: 1,449,548

power to dispose or to direct

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the disposition of:

Neil Gagnon: 2,037,376

Item 5. Ownership of Five Percent or Less of a Class.

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following o.

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

The Accounts described above in Item 2 have the right to receive or the power to direct the receipt of dividends from, or the proceeds from the sale of, securities held in their respective accounts. To the knowledge of the Reporting Persons, the interest in any such account does not exceed 5% of the class of securities. Except to the extent described herein, the Reporting Person disclaims beneficial ownership of all such securities.

Items 7 – 9Not Applicable.

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Item 10. Certification.

By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under Section 230.14a-11.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

January 28, 2016

Date

GAGNON SECURITIES

LLC

By: /s/ Neil Gagnon

Signature

Neil Gagnon, Managing

Member

Name/Title

NEIL GAGNON

/s/ Neil Gagnon Signature