Edgar Filing: WITTENSCHLAEGER THOMAS MICHAEL - Form 4/A

WITTENSCHLAEGER THOMAS MICHAEL

Form 4/A

November 18, 2011

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

obligations

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

may continue. See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * WITTENSCHLAEGER THOMAS **MICHAEL**

> (First) (Middle) (Last)

C/O LANTRONIX, INC., 167 TECHNOLOGY DRIVE

(Street)

(State)

IRVINE, CA 92618

2. Issuer Name and Ticker or Trading Symbol

LANTRONIX INC [LTRX]

3. Date of Earliest Transaction

(Month/Day/Year) 11/16/2011

4. If Amendment, Date Original

Filed(Month/Day/Year) 11/17/2011

OMB APPROVAL

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

Issuer

(Check all applicable)

X_ Director 10% Owner Other (specify Officer (give title

5. Relationship of Reporting Person(s) to

below)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) (Instr. 3)

(City)

Execution Date, if

(Zip)

Code (Month/Day/Year) (Instr. 8)

3. 4. Securities TransactionAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following Reported

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

Transaction(s) (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed

Derivative Conversion Security or Exercise

(Month/Day/Year) Execution Date, if any

4. 5. Number of **Transaction**Derivative Code Securities

(A)

or

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of 8 **Underlying Securities** (Instr. 3 and 4)

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr.	-	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to buy)	\$ 1.39 (2)	11/16/2011		A		23,750		<u>(1)</u>	11/16/2018	Common Stock	23,750

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

WITTENSCHLAEGER THOMAS MICHAEL C/O LANTRONIX, INC. 167 TECHNOLOGY DRIVE IRVINE, CA 92618



Signatures

/s/ Jeremy Whitaker, Attorney-in-fact for Thomas Wittenschlaeger

11/18/2011

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - Grant to Reporting Person of an option to purchase 23,750 shares (the "Shares") of Common Stock under the Issuer's 2010 Stock
- (1) Incentive Plan. One Hundred Percent (100%) of the Shares subject to the Option shall vest on the twelve (12) month anniversary of the Vesting Commencement Date, subject to the Optionee remaining a Service Provider as defined in the Issuer's 2010 Stock Incentive Plan.
- (2) Form 4, as originally filed on November 17, 2011, set forth an incorrect exercise price per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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