

CHICAGO BRIDGE &amp; IRON CO N V

Form 3

February 17, 2017

**FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

OMB APPROVAL

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**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Kirsten David B.

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/15/2017

3. Issuer Name and Ticker or Trading Symbol

CHICAGO BRIDGE &amp; IRON CO N V [CBI]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other  
(give title below) (specify below)

Executive Vice President

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

2103 RESEARCH FOREST DRIVE

(Street)

THE WOODLANDS, TX 77380

(City)

(State)

(Zip)

**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security  
(Instr. 4)2. Amount of Securities Beneficially Owned  
(Instr. 4)3. Ownership Form:  
Direct (D)  
or Indirect (I)  
(Instr. 5)4. Nature of Indirect Beneficial Ownership  
(Instr. 5)

Common Stock

3,345 <sup>(1)</sup>

D

A

Common Stock

612

D

A

Common Stock

27.3366

I

By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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**Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative Security  
(Instr. 4)2. Date Exercisable and Expiration Date  
(Month/Day/Year)

3. Title and Amount of Securities Underlying

4. Conversion  
5. Ownership

6. Nature of Indirect Beneficial

# Edgar Filing: CHICAGO BRIDGE & IRON CO N V - Form 3

|                             | Date<br>Exercisable | Expiration<br>Date | Derivative Security<br>(Instr. 4)<br><br>Title | Amount or<br>Number of<br>Shares | or Exercise<br>Price of<br>Derivative<br>Security | Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 5) | Ownership<br>(Instr. 5) |
|-----------------------------|---------------------|--------------------|------------------------------------------------|----------------------------------|---------------------------------------------------|--------------------------------------------------------------------------------------|-------------------------|
| Stock Option (Right to Buy) | 01/01/2012          | 12/07/2017         | Common Stock                                   | 483                              | \$ 73.39                                          | D                                                                                    | Â                       |
| Stock Option (Right to Buy) | 01/01/2013          | 10/31/2018         | Common Stock                                   | 442                              | \$ 19.54                                          | D                                                                                    | Â                       |
| Stock Option (Right to Buy) | 01/01/2014          | 10/29/2019         | Common Stock                                   | 418                              | \$ 30.36                                          | D                                                                                    | Â                       |

## Reporting Owners

| Reporting Owner Name / Address                                            | Relationships |           |                            |       |
|---------------------------------------------------------------------------|---------------|-----------|----------------------------|-------|
|                                                                           | Director      | 10% Owner | Officer                    | Other |
| Kirsten David B.<br>2103 RESEARCH FOREST DRIVE<br>THE WOODLANDS, TX 77380 | Â             | Â         | Â Executive Vice President | Â     |

## Signatures

Cindy A. McMinn as attorney  
in fact

02/17/2017

Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Unvested portion of restricted stock grants before becoming a Section 16 Reporting Person vesting in 2018, 2019 and 2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.