### Edgar Filing: CONSOLIDATED EDISON INC - Form 3

#### CONSOLIDATED EDISON INC

Form 3

September 25, 2015

# FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**SECURITIES** 

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement CONSOLIDATED EDISON INC [ED] Shukla Saumil P (Month/Day/Year) 09/17/2015 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) CONSOLIDATED EDISON, (Check all applicable) INC. C/O SECRETARY, 4 **IRVING PLACE, ROOM 1450-S** 10% Owner Director (Street) \_X\_\_ Officer Other 6. Individual or Joint/Group (give title below) (specify below) Filing(Check Applicable Line) SVP, Utility Shared Services \_X\_ Form filed by One Reporting Person NEW YORK. NYÂ 10003 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 3. 4. Nature of Indirect Beneficial Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock 4,517.53 D Common Stock 23.37 Ι By THRIFT PLAN By Tax Reduction Act Stock Common Stock 1,139.79 Ι Ownership Plan (TRASOP) Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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|  | 1. Title of Derivative Security (Instr. 4)                   | 2. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 3. Title and Amount of<br>Securities Underlying<br>Derivative Security<br>(Instr. 4) |                                  | 4. Conversion or Exercise Price of | 5.<br>Ownership<br>Form of<br>Derivative        | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|--|--|--|--------------------|--|----------------------------------|------------------------------------|---|---|
|  |  | Date<br>Exercisable                                      | Expiration<br>Date | Title  | Amount or<br>Number of<br>Shares | Derivative<br>Security             | Security: Direct (D) or Indirect (I) (Instr. 5) |   |
|  | Performance Restricted<br>Stock Units (Phantom<br>Stock) (1) | (2)  | (2)                | Common<br>Stock  | 3,000 (3)                        | \$ <u>(4)</u>                      | D   | Â   |
|  | Performance Restricted<br>Stock Units (Phantom<br>Stock) (1) | (5)  | (5)                | Common<br>Stock  | 3,200 (3)                        | \$ <u>(4)</u>                      | D   | Â   |
|  | Performance Restricted<br>Stock Units (Phantom<br>Stock) (1) | (6)  | (6)                | Common<br>Stock  | 2,400 (3)                        | \$ <u>(4)</u>                      | D   | Â   |

## **Reporting Owners**

| Reporting Owner Name / Address                                      | Relationships |           |                              |       |  |  |
|---|---------------|-----------|------------------------------|-------|--|--|
| 1 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3 3                             |               | 10% Owner | Officer                      | Other |  |  |
| Shukla Saumil P   |               |           |                              |       |  |  |
| CONSOLIDATED EDISON, INC. C/O SECRETARY 4 IRVING PLACE, ROOM 1450-S | Â             | Â         | SVP, Utility Shared Services | Â     |  |  |
| NEW YORK, NY 10003  |               |           |                              |       |  |  |

# **Signatures**

Carole Sobin, Attorney 09/24/2015 in Law

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Performance Restricted Stock Unit ("PRSU") granted under the Consolidated Edison, Inc. ("Company") Long Term Incentive Plan (the "LTIP"). Each PRSU is the economic equivalent of one share of Company common stock.
- (2) The PRSUs, granted in 2013, will vest in 2016 when they are determined and awarded by the Management Development and Compensation Committee (the "Committee") of the Company's Board of Directors.
- (3) The number of shares (or cash equivalents) will be adjusted based on certain performance criteria, including criteria other than the market price, as specified under the LTIP.
- (4) Not Applicable.
- (5) The PRSUs, granted in 2014, will vest in 2017 when they are determined and awarded by the Committee of the Company's Board of Directors.
- (6) The PRSUs, granted in 2015, will vest in 2018 when they are determined and awarded by the Committee of the Company's Board of Directors

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Reporting Owners 2

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