Edgar Filing: TriState Capital Holdings, Inc. - Form 4

| TriState Ca Form 4 June 02, 20 | apital Holdings, Ir)15 | IC. | - | | · | | • | | | | |
|--|---|---------------|---|--|---|-----------|---------------|--|--|---|--|
| | | | | | | | | | OMB APPROVAL | | |
| | UNITED | STATES | | JRITIES ashingto | | | | OMMISSION | OMB Number: | 3235-0287 | |
| Check if no lo | this box | | | U | | | | | Expires: | January 31, | |
| subject Sectior Form 4 | to SIAIE. | | SECU | JRITIES | 5 | | NERSHIP OF | Estimated burden he response | ours per | | |
| Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 | | | | | | | | | | | |
| (Print or Type | e Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> GETZ JAMES F | | | | 2. Issuer Name and Ticker or Trading Symbol TriState Capital Holdings, Inc. [TSC] | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) | (Middle) | | • | | - | ic. [15C] | (Check all applicable) | | | |
| (Last) (First) (Middle) ONE OXFORD CENTRE, 301 GRANT STREET, SUITE 2700 | | | 3. Date of Earliest Transaction (Month/Day/Year) 05/29/2015 | | | | | X Director 10% Owner X Officer (give title Other (specify below) below) CHAIRMAN, PRESIDENT AND CEO | | | |
| File | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | | |
| PITTSBU | RGH, PA 15219 | | | | | | | Person | | | |
| (City) | (State) | (Zip) | Та | ble I - Nor | n-Derivati | ve Sec | urities Acq | uired, Disposed o | f, or Benefic | ally Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | Date, if | Code (Instr. 8) | 4. Securi otor Dispo (Instr. 3, Amount | (A) or | 5) Price | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| Common Stock | 05/29/2015 | | | Р | 2,000 | А | \$ 11.6699 | 46,252 | D (1) | | |
| Common Stock | | | | | | | | 512,958 | D (2) | | |
| Common Stock | | | | | | | | 287,173 | Ι | By Getz Enterprises, L.P. (3) | |
| Common Stock | | | | | | | | 180,618 | Ι | By Barclays Capital, Inc. FBO James F. Getz | |

Individual Retirement Account (4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Secur (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr |
|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
| | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | | |
|---|---------------------|----------------------------------|-----------------------------------|-------|--|--|--|--|
| | Director | 10% Owner | Officer | Other | | | | |
| GETZ JAMES F ONE OXFORD CENTRE 301 GRANT STREET, SUITE 2700 PITTSBURGH, PA 15219 | Х | | CHAIRMAN, PRESIDENT AND CEO | | | | | |
| Signatures | | | | | | | | |
| /s/ Keevican Weiss Bauerle & Hirsch LL Attorney-in-Fact | 06/02/2015 | | | | | | | |
| **Signature of Report | Date | | | | | | | |
| Explanation of Respons | ses: | | | | | | | |
| * If the form is filed by more than one reporting | noncon and Instruct | $tion A(\mathbf{h})(\mathbf{v})$ | | | | | | |

If the form is filed by more than one reporting person, *see* Instruction 4(b)(v). *

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares held jointly of record by Mr. Getz and his spouse.

(2) Owned by Mr. Getz individually

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(3) The Reporting Person is the general partner of this entity, which owns a total of 287,173 shares of the Issuers' common stock.

(4) The Reporting Person is the beneficiary of this account, which owns a total of 180,618 shares of the Issuer's common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.