



Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

Table I -- Non-Derivative Securities Acquired, Disposed of,  
or Beneficially Owned

| 1.<br>Title of Security<br>(Instr. 3) | 2.<br>Transaction<br>Date<br>(mm/dd/yy) | 3.<br>Transaction<br>Code<br>(Instr. 8) |   | 4.<br>Securities Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) |       |
|---------------------------------------|---|---|---|--|-------|
|                                       |   | Code                                    | V | Amount<br>or<br>(A)<br>(D)   | Price |
| Common Stock                          |   |   |   |  | 4     |
| Common Stock                          |   |   |   |  | 1     |
|                                       |   |   |   | Total  | 6     |

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

(Over)

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)

| 1.<br>Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conver-<br>sion<br>or<br>Exer-<br>cise<br>Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity | 3.<br>Trans-<br>action<br>Date<br>(Month/<br>Day/<br>Year) | 4.<br>Trans-<br>action<br>Code<br>(Instr.<br>8)<br>-----<br>Code V | 5.<br>Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5)<br>-----<br>(A) (D) | 6.<br>Date<br>Exercisable and<br>Expiration Date<br>(Month/Day/Year)<br>-----<br>Date Expira-<br>tion<br>Date | 7.<br>Title and Amount<br>of Underlying<br>Securities<br>(Instr. 3 and 4)<br>-----<br>Amount<br>or<br>Number<br>of<br>Shares |
|--|---|--|--|--|---|--|
| phantom units 1 for 1                                  |   | (2)  |  | A 6.980  | (2) )2)   | Common Stock 6.980   |

Explanation of Responses:

(1)number of shares held in the stock purchase plan  
(2)phantom stock units were acquired under the ULT Supplemental Stock Purchase Plan on a periodic basis the for month ended 9/30/02. Each phantom unit will be settled in cash upon the earlier of death, disability, retirement or termination of employment. The price for the company's stock on the date the units were credited was \$35.07.

Hartwell H. Roper, signature on file  
Terri L. Marks (Power of Attorney)

October 1, 2002

\*\*Signature of Reporting Person

Date

Edgar Filing: UNIVERSAL CORP /VA/ - Form 4

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

Page 2