Edgar Filing: Duke Energy CORP - Form 4

Duke Energy CORP Form 4 January 05, 2010United states and example Munited states and example Mashington, D.C. 20549Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIESFiled pursuant to Section 16(a) of the Securities Exchange Act of 1934, Subject of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 30(h) of the Investment Company Act of 1940								OMB Number: Expires: Estimated a burden hour response	•		
(Print or Type R	Responses)										
1. Name and A ROGERS JA	Sy	2. Issuer Name and Ticker or Trading Symbol Duke Energy CORP [DUK]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
(Last) (First) (Middle) 526 S. CHURCH STREET			3. Date of Earliest Transaction (Month/Day/Year) 12/31/2009					X Director 10% Owner X Officer (give title Other (specify below) below) Chairman, President & CEO			
			4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ities Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)		nsaction Date 2A. Deemed			4. Securiti on(A) or Dis (Instr. 3, 4	sposed	l of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 2 and 4)	6. 7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4) (Instr. 4)		
Common	12/31/2009			Code V M	Amount 25,862	(D) A	Price \$	(Instr. 3 and 4) 1,230,244	D		
Stock Common	12/31/2007			111	23,002	11	17.21	1,230,211	D		
Stock	12/31/2009			F	10,979	D	ه 17.21	1,219,265	D		
Common Stock								17,935	I	By 401(k)	
Common Stock								507,658	Ι	By Spouse	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(1)</u>	12/31/2009		М	25,862	(2)	(3)	Common Stock	25,862	9

Reporting Owners

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
ROGERS JAMES E 526 S. CHURCH STREET CHARLOTTE, NC 28202	Х		Chairman, President & CEO					
Signatures								

/s/ David S. Maltz, attorney-in-fact for James E. Rogers

**Signature of Reporting Person

Date

01/05/2010

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Converts to Common Stock on a 1-for-1 basis.
- (2) The phantom stock vests in four equal quarterly installments beginning March 31, 2009.
- (3) Expiration date not applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.