

Edgar Filing: PennyMac Mortgage Investment Trust - Form 4

PennyMac Mortgage Investment Trust
Form 4
May 23, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
KURLAND STANFORD L

(Last) (First) (Middle)

C/O PENNYMAC MORTGAGE
INVESTMENT TRUST, 6101
CONDOR DRIVE

(Street)

MOORPARK, CA 93021

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
Symbol
PennyMac Mortgage Investment
Trust [PMT]

3. Date of Earliest Transaction
(Month/Day/Year)
05/23/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
Chairman and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Shares of Beneficial Interest	05/23/2013		P	1,500 A	\$ 22.44 385,500	D	
Common Shares of Beneficial Interest	05/23/2013		P	500 A	\$ 22.45 386,000	D	
Common Shares of	05/23/2013		P	200 A	\$ 22.47 386,200	D	

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Beneficial Interest							
Common Shares of Beneficial Interest	05/23/2013	P	900	A	\$ 22.48	387,100	D
Common Shares of Beneficial Interest	05/23/2013	P	800	A	\$ 22.49	387,900	D
Common Shares of Beneficial Interest	05/23/2013	P	1,400	A	\$ 22.5	389,300	D
Common Shares of Beneficial Interest	05/23/2013	P	400	A	\$ 22.51	389,700	D
Common Shares of Beneficial Interest	05/23/2013	P	700	A	\$ 22.52	390,400	D
Common Shares of Beneficial Interest	05/23/2013	P	1,200	A	\$ 22.53	391,600	D
Common Shares of Beneficial Interest	05/23/2013	P	1,600	A	\$ 22.54	393,200	D
Common Shares of Beneficial Interest	05/23/2013	P	900	A	\$ 22.55	394,100	D
Common Shares of Beneficial Interest	05/23/2013	P	1,600	A	\$ 22.57	397,800	D
Common Shares of Beneficial Interest	05/23/2013	P	763	A	\$ 22.58	398,563	D
Common Shares of Beneficial Interest	05/23/2013	P	1,300	A	\$ 22.6	399,863	D

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Interest

Common Shares of Beneficial Interest	05/23/2013	P	2,100	A	\$ 22.56	396,200	D
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Common Shares of Beneficial Interest	05/23/2013	P	1,700	A	\$ 22.61	401,563	D
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Common Shares of Beneficial Interest	05/23/2013	P	2,629	A	\$ 22.62	404,192	D
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Common Shares of Beneficial Interest	05/23/2013	P	7,200	A	\$ 22.63	411,392	D
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Common Shares of Beneficial Interest	05/23/2013	P	4,600	A	\$ 22.64	415,992	D
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Common Shares of Beneficial Interest	05/23/2013	P	1,500	A	\$ 22.65	417,492	D
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Common Shares of Beneficial Interest	05/23/2013	P	1,808	A	\$ 22.66	419,300	D
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Common Shares of Beneficial Interest	05/23/2013	P	400	A	\$ 22.67	419,700	D
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Common Shares of Beneficial Interest	05/23/2013	P	1,100	A	\$ 22.69	420,800	D
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Common Shares of Beneficial Interest	05/23/2013	P	200	A	\$ 22.71	421,000	D
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Common Shares of Beneficial Interest	05/23/2013	P	400	A	\$ 22.72	421,400	D
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Common Shares of Beneficial Interest	05/23/2013	P	400	A	\$ 22.75	421,800	D	
Common Shares of Beneficial Interest	05/23/2013	P	1,700	A	\$ 22.77	423,500	D	
Common Shares of Beneficial Interest	05/23/2013	P	100	A	\$ 22.775	423,600	D	
Common Shares of Beneficial Interest						6,000	I	By Kurland Family Foundation
Common Shares of Beneficial Interest						157,290	I	By Kurland Revocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reportable Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

