### Edgar Filing: GRANITE CONSTRUCTION INC - Form 5

GRANITE CONSTRUCTION INC Form 5 February FOR

February	06, 20	14						
FOF	RM 4	5				OMB AI	PPROV	AL
	ck this box	UNITI	ED STATES	S SECURITIES AND EXCHANGE	OMB Number:	3235 Janua	-0362	
	onger subje			Washington, D.C. 20549	, D.C. 20549			
Form 5 obl may	ection 16. n 4 or Forr ligations continue.		ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES					2005
1(b).			pursuant to	Section 16(a) of the Securities Exchange	ge Act of 1934,			
Form	n 3 Holdin	gs Section	17(a) of the	Public Utility Holding Company Act of	of 1935 or Section	1		
Repo Form Trans Repo	n 4 sactions		30(h)	) of the Investment Company Act of 19	40			
		-	ting Person <u>*</u>	2. Issuer Name <b>and</b> Ticker or Trading	5. Relationship of Issuer	Reporting Pers	son(s) to	
Case Thomas Scott				Symbol GRANITE CONSTRUCTION INC [GVA]				
(Last)	)	(First)	(Middle)	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2013	Director X_Officer (give below)	title Other below)	Owner er (specify	/
585 W. I	BEACI	H STREET	Г		V10	ce President		
(Street)				4. If Amendment, Date Original	6. Individual or Jo	int/Group Rep	orting	

### WATSONVILLE, CAÂ 95076

\_X\_ Form Filed by One Reporting Person \_ Form Filed by More than One Reporting Person

(check applicable line)

(City)	(State) (Z	Zip) Table	e I - Non-Deri	vative Sec	curitie	s Acqui	ired, Disposed o	f, or Beneficial	lly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3, Amount	d (A) of d of (D 4 and (A) or	))	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/03/2010	Â	G	5,136	D	\$0	13,289	D	Â
Common Stock	03/17/2010	Â	G	1,680	D	\$0	11,609	D	Â
Common Stock	03/17/2011	Â	G	3,027	D	\$0	8,582	D	Â
Common Stock	03/23/2011	Â	G	1,933	D	\$0	6,649	D	Â

Filed(Month/Day/Year)

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Common Stock	03/16/2012	Â	G	5,805	D	\$0	844	D	Â
Common Stock	04/05/2012	Â	G	1,811	D	\$0	18,425 <u>(1)</u>	D	Â
Common Stock	03/03/2010	Â	G	5,136	А	\$0	5,136	Ι	Living Trust
Common Stock	03/17/2010	Â	G	1,680	А	\$0	6,816	Ι	Living Trust
Common Stock	03/17/2011	Â	G	3,027	А	\$0	9,843	Ι	Living Trust
Common Stock	03/23/2011	Â	G	1,933	А	\$ 0	11,776	Ι	Living Trust
Common Stock	03/16/2012	Â	G	5,805	А	\$ 0	17,581	Ι	Living Trust
Common Stock	04/05/2012	Â	G	1,811	А	\$0	0	Ι	Living Trust
Common Stock	Â	Â	Â	Â	Â	Â	14,698	Ι	By Esop

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 2270 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Amou Unde Secur	rlying	8. Price of Derivative Security (Instr. 5)	9. of D B B B B B C E I S F I S F I I S
					(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address				
1 0	Director	10% Owner	Officer	Other
	Â	Â	Vice President	Â

Case Thomas Scott 585 W. BEACH STREET WATSONVILLE, CAÂ 95076

## Signatures

Richard A. Watts, attorney-in-fact for Mr. Case

\*\*Signature of Reporting Person

Date

02/04/2014

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Direct holdings include shares that were, prior to 2013, considered indirect holdings in a living trust in a non-community property state (community property rules now apply to those shares).

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.