

NACCO INDUSTRIES INC
Form 4
May 12, 2015

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
TAPLIN THEODORE D

(Last) (First) (Middle)

NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, STE.
220

(Street)

MAYFIELD HEIGHTS, OH 44124

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

3. Date of Earliest Transaction
(Month/Day/Year)
05/11/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

____ Director _____ 10% Owner
____ Officer (give title below) Other (specify below)
Member of a Group

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| | | | | (A) or (D) | Price | | |
| Class A Common Stock | 05/11/2015 | | S | 200 | D \$ 57.915 | 24,700 | D |
| Class A Common Stock | 05/11/2015 | | S | 500 | D \$ 57.75 | 24,200 | D |
| Class A Common Stock | 05/11/2015 | | S | 46 | D \$ 57.8 | 24,154 | D |
| Class A Common Stock | 05/11/2015 | | S | 100 | D \$ | 24,054 | D |

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| | | | | | | | |
|----------------------------|------------|---|-----|---|----------|--------|---|
| Common Stock | | | | | 57.825 | | |
| Class A Common Stock | 05/11/2015 | S | 300 | D | \$ 57.91 | 23,754 | D |
| Class A Common Stock | 05/11/2015 | S | 200 | D | \$ 57.7 | 23,554 | D |
| Class A Common Stock | 05/11/2015 | S | 739 | D | \$ 57.74 | 22,815 | D |
| Class A Common Stock | 05/11/2015 | S | 100 | D | \$ 57.45 | 22,715 | D |
| Class A Common Stock | 05/11/2015 | S | 100 | D | \$ 57.48 | 22,615 | D |
| Class A Common Stock | 05/11/2015 | S | 100 | D | \$ 57.51 | 22,515 | D |
| Class A Common Stock | 05/11/2015 | S | 100 | D | \$ 57.55 | 22,415 | D |
| Class A Common Stock | 05/11/2015 | S | 580 | D | \$ 57.44 | 21,835 | D |
| Class A Common Stock | 05/11/2015 | S | 100 | D | \$ 57.12 | 21,735 | D |
| Class A Common Stock | 05/11/2015 | S | 100 | D | \$ 57.15 | 21,635 | D |
| Class A Common Stock | 05/11/2015 | S | 200 | D | \$ 57.3 | 21,435 | D |
| Class A Common Stock | 05/11/2015 | S | 100 | D | \$ 57.19 | 21,335 | D |
| Class A Common Stock | 05/11/2015 | S | 119 | D | \$ 57.22 | 21,216 | D |
| Class A Common Stock | 05/11/2015 | S | 100 | D | \$ 57.24 | 21,116 | D |

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Class A
Common 05/11/2015 S 3,148 D \$ 57.43 17,968 D
Stock

Class A
Common 05/11/2015 S 68 D \$ 57.4 17,900 D
Stock

Class A
Common Stock 280 I Proportionate membership interest in shares held by Abigail LLC

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|----------------------------|
| | | | | | | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Class B Common Stock | \$ 0 ⁽¹⁾ | | | | | <u>(1)</u> | <u>(1)</u> | Class A Common Stock | 5,000 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

TAPLIN THEODORE D
NACCO INDUSTRIES, INC.
5875 LANDERBROOK DRIVE, STE. 220
MAYFIELD HEIGHTS, OH 44124

Member of a Group

Signatures

/s/ Jesse L. Adkins,
attorney-in-fact

05/12/2015

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) N/A

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