J M SMUCKER Co Form 4 October 05, 2015

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person <u>\*</u> Dolan Paul J

2. Issuer Name **and** Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

Symbol
J M SMUCKER Co [SJM]

(Last) (First) (Middle)

3. Date of Earliest Transaction

11

(Month/Day/Year)

(Month/Day/Year

X\_ Director \_\_\_\_\_ 10% Owner \_\_\_\_ Officer (give title \_\_\_\_\_ Other (specify

(Check all applicable)

10/01/2015

(Street) 4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

below)

CLEVELAND, OH 44115

Security

(Instr. 3)

2401 ONTARIO STREET

(City) (State) (Zip)

1.Title of 2. Transaction Date 2A. Deemed

2. Transaction Date 2A. Deemed 3. 4. Securities (Month/Day/Year) Execution Date, if any Code Disposed of (D) (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)

5. Amount of Securities Beneficially Owned Following 6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership (Instr. 4) (Instr. 4)

(A) Reported Transaction(s)

or (Instr. 3 and 4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 2.
Derivative Conversion
Security or Exercise
(Instr. 3) Price of

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date 3A.

3A. Deemed Execution Date, if any (Month/Day/Year) 4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A)

6. Date Exercisable and Expiration Date (Month/Day/Year)

7. Title and Amount of Underlying Securities (Instr. 3 and 4)

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#### Edgar Filing: J M SMUCKER Co - Form 4

|                            | Derivative<br>Security | or Disposed of (D) (Instr. 3, 4, and 5) |      |        |                         |                    |                  |                                     |      |  |
|----------------------------|------------------------|---|------|--------|-------------------------|--------------------|------------------|-------------------------------------|------|--|
|                            |                        |   | Code | V (A)  | (D) Date<br>Exercisable | Expiration<br>Date | Title            | Amount<br>or<br>Number<br>of Shares |      |  |
| Deferred<br>Stock<br>Units | \$ 0                   | 10/01/2015                              | A    | 1,057  | <u>(1)</u>              | <u>(1)</u>         | Common<br>Shares | 1,057                               | \$ 1 |  |
| Deferred<br>Stock<br>Units | \$ 0                   | 10/01/2015                              | A    | 121.66 | <u>(1)</u>              | (1)                | Common<br>Shares | 121.66                              | \$ 1 |  |

# **Reporting Owners**

| Reporting Owner Name / Address                             | Relationships |           |         |       |  |  |
|--|---------------|-----------|---------|-------|--|--|
|  | Director      | 10% Owner | Officer | Other |  |  |
| Dolan Paul J<br>2401 ONTARIO STREET<br>CLEVELAND, OH 44115 | X             |           |         |       |  |  |

## **Signatures**

/s/ Jeannette L. Knudsen, POA

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Deferred Stock Units are payable in Common Shares at a rate of 1-for-1 following termination of services as a director.
- (2) This amount includes shares acquired under the Company's dividend reinvestment plan, as administered by its transfer agent.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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