

WILLIAMS CLARA R  
Form 4  
January 04, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**WILLIAMS CLARA R**

2. Issuer Name and Ticker or Trading Symbol  
**NACCO INDUSTRIES INC [NC]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**NACCO INDUSTRIES, INC., 5875  
LANDERBROOK DRIVE, STE.  
220**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**12/14/2017**

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below)  Other (specify below)  
Member of a group

(Street)  
**MAYFIELD HEIGHTS, OH 44124**

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V Amount (A) or (D) Price			
Class A Common Stock	12/14/2017		G	V 81 A 12,384	I	By Assoc II <sup>(2)</sup>	
Class A Common Stock	12/14/2017		G	V 163 A 6,899	I	By AssocII/Spouse <sup>(3)</sup>	
Class A Common Stock	12/14/2017		G	V 163 D 12,221	I	By Assoc II <sup>(2)</sup>	
Class A Common Stock	12/14/2017		G	V 163 A 8,365	I	By Assoc	

Edgar Filing: WILLIAMS CLARA R - Form 4

Common Stock									II/Daughter <sup>(4)</sup>		
Class A Common Stock	12/14/2017		G V 163	D	<u>(1)</u>	12,058		I	By Assoc II <sup>(2)</sup>		
Class A Common Stock	12/14/2017		G V 163	A	<u>(1)</u>	9,740		I	By AssocII/Daughter 2 <sup>(4)</sup>		
Class A Common Stock	01/02/2018		A <sup>(5)</sup>			556		A <u>(1)</u>	10,437	I	By Spouse <sup>(6)</sup>
Class A Common Stock							68,094			I	By Trust <sup>(7)</sup>
Class A Common Stock							2,553			I	By Trust/Child2 <sup>(8)</sup>
Class A Common Stock							3,927			I	Trust/Child1 <sup>(8)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Class B Common Stock	<u>(1)</u>	12/14/2017		G	V 227	<u>(1)</u>	<u>(1)</u>	Class A Common Stock	227	<u>(1)</u>
	<u>(1)</u>					<u>(1)</u>	<u>(1)</u>		69,458	

