

RANKIN ELIZABETH B
Form 4
April 03, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
RANKIN ELIZABETH B

2. Issuer Name and Ticker or Trading Symbol
NACCO INDUSTRIES INC [NC]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
NACCO INDUSTRIES, INC., 5875
LANDERBROOK DRIVE, SUITE
220

3. Date of Earliest Transaction
(Month/Day/Year)
03/19/2018

___ Director ___ 10% Owner
___ Officer (give title below) ___X___ Other (specify below)
Member of a group

(Street)
MAYFIELD HEIGHTS, OH 44124

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
X Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|---|--------------------------------|
| | | | | (A) or (D) | Price | | | | |
| | | | | Code | V | Amount | | | |
| Class A Common Stock | 03/19/2018 | | J ⁽¹⁾ | V | 1,365 | A | <u>(2)</u> 10,409 | I | By Trust/Spouse ⁽³⁾ |
| Class A Common Stock | 03/19/2018 | | J ⁽¹⁾ | V | 200 | A | <u>(2)</u> 10,609 | I | By Trust/Spouse ⁽³⁾ |
| Class A Common Stock | 03/19/2018 | | J ⁽¹⁾ | V | 945 | A | <u>(2)</u> 11,554 | I | By Trust/Spouse ⁽³⁾ |
| Class A Common Stock | 03/19/2018 | | J ⁽¹⁾ | V | 1 | A | <u>(2)</u> 11,555 | I | By Trust/Spouse ⁽³⁾ |

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| | | | | | | | | |
|----------------------|------------|------------------|-------|---|---|--------|---|--|
| Common Stock | | | | | | | | |
| Class A Common Stock | 03/19/2018 | J ⁽¹⁾ | V 50 | A | ② | 11,605 | I | By Trust/Spouse ⁽³⁾ |
| Class A Common Stock | 03/19/2018 | J ⁽¹⁾ | V 100 | A | ② | 11,705 | I | By Trust/Spouse ⁽³⁾ |
| Class A Common Stock | 04/02/2018 | A ⁽⁴⁾ | 565 | A | ② | 12,270 | I | By Trust/Spouse ⁽³⁾ |
| Class A Common Stock | | | | | | 722 | D | |
| Class A Common Stock | | | | | | 2,058 | I | By RAI ⁽⁵⁾ |
| Class A Common Stock | | | | | | 1,553 | I | By Spouse/Co-Trustee for Child1/RAI ⁽⁶⁾ |
| Class A Common Stock | | | | | | 645 | I | By Spouse/Co-Trustee for Child1/Trust ⁽⁷⁾ |
| Class A Common Stock | | | | | | 500 | I | By Spouse ⁽⁸⁾ |
| Class A Common Stock | | | | | | 9,103 | I | By RAI/Spouse ⁽⁹⁾ |
| Class A Common Stock | | | | | | 1,405 | I | By Spouse/Co-Trustee for Child2/RAI ⁽⁶⁾ |
| Class A Common Stock | | | | | | 563 | I | By Spouse/Co-Trustee for Child2/Trust ⁽⁷⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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