Edgar Filing: GENERAC HOLDINGS INC. - Form 3

GENERAC HOLDINGS INC. Form 3 November 04, 2013 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| 1. Name and Address of Reporting Person [*] | | 2. Date of Event Requiring Statement (Month/Day/Year) | 3. Issuer Name and Ticker or Trading Symbol GENERAC HOLDINGS INC. [GNRC] | | | | | |
|---|--------------------|---|---|--|--|---------------------------|--|--|
| (Last) (| First) | (Middle) | 10/31/2013 | 4. Relationship of Reporting Person(s) to Issuer | | ç | 5. If Amendment, Date Original Filed(Month/Day/Year) | |
| GENERAC HO INC., P.O. BO | | 5 | | (Check | all applicable) | | | |
| | Street) | 2100 | | X Director Officer (give title below | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | |
| WAUKESHA, | A WIA 5. | 3189 | | | | | Form filed by More than One Reporting Person | |
| (City) (S | State) | (Zip) | Table I - N | Non-Derivat | ive Securiti | es Be | neficially Owned | |
| 1.Title of Security (Instr. 4) | | | 2. Amount o Beneficially (Instr. 4) | | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nat Owne (Instr. | • | |
| No securities be | eneficiall | y owned | 0 | | D | Â | | |
| Reminder: Report of owned directly or i | - | te line for ea | ch class of securities benefic | ^{ially} S | EC 1473 (7-02 |) | | |
| | informa require | ation conta d to respo | oond to the collection of ined in this form are not nd unless the form displ //B control number. | t | | | | |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security | 2. Date Exercisable and | 3. Title and Amount of | 4. | 5. | 6. Nature of Indirect |
|---------------------------------|-------------------------|------------------------|-------------|------------|-----------------------|
| (Instr. 4) | Expiration Date | Securities Underlying | Conversion | Ownership | Beneficial Ownership |
| | (Month/Day/Year) | Derivative Security | or Exercise | Form of | (Instr. 5) |
| | | (Instr. 4) | Price of | Derivative | |
| | | Title | Derivative | Security: | |
| | | | Security | Direct (D) | |

OMB APPROVAL

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| Date | Expiration | Amount or | or Indirect |
|-------------|------------|-----------|-------------|
| Exercisable | Date | Number of | (I) |
| | | Shares | (Instr. 5) |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | |
|--|---------------|-----------|---------|-------|--|
| | Director | 10% Owner | Officer | Other | |
| ADAMS TODD A. GENERAC HOLDINGS INC. P.O. BOX 8 WAUKESHA, WI 53189 | ÂX | Â | Â | Â | |
| Signatures | | | | | |
| /S/ York Ragen, Attorney-in-fact | 11/04/2013 | | | | |

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Remarks:

Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.