

Corium International, Inc.

Form 3

April 02, 2014

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Sweemer Timothy D

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

04/02/2014

3. Issuer Name and Ticker or Trading Symbol
Corium International, Inc. [CORI]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other
(give title below) (specify below)

Chief Accounting Officer

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person

C/O CORIUM

INTERNATIONAL, INC., 235

CONSTITUTION DRIVE

(Street)

MENLO PARK, CA 94025

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

2. Amount of Securities

Beneficially Owned

(Instr. 4)

3. Ownership

Form:

Direct (D)

or Indirect

(I)

(Instr. 5)

4. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and

Expiration Date

(Month/Day/Year)

Date

Exercisable

Expiration

Date

3. Title and Amount of

Securities Underlying

Derivative Security

(Instr. 4)

Title

Amount or
Number of

4. Conversion

or Exercise

Price of

Derivative

Security

5. Ownership

Form of

Derivative

Security:

Direct (D)

6. Nature of Indirect

Beneficial Ownership

(Instr. 5)

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				Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (Right to Buy)	Â (1)	02/11/2018	Common Stock	17,326	\$ 2.121	D	Â
Employee Stock Option (Right to Buy)	Â (2)	11/11/2017	Common Stock	37,623	\$ 2.222	D	Â
Employee Stock Option (Right to Buy)	Â (3)	12/12/2022	Common Stock	13,267	\$ 2.222	D	Â
Employee Stock Option (Right to Buy)	Â (4)	02/19/2023	Common Stock	12,376	\$ 2.222	D	Â
Employee Stock Option (Right to Buy)	Â (5)	01/26/2024	Common Stock	18,316	\$ 4.141	D	Â
Employee Stock Option (Right to Buy)	Â (6)	07/20/2014	Common Stock	990	\$ 2.3634	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Sweemer Timothy D C/O CORIUM INTERNATIONAL, INC. 235 CONSTITUTION DRIVE MENLO PARK, CA 94025	Â	Â	Â Chief Accounting Officer	Â

Signatures

/s/Timothy D. Sweemer by Christina Dickerson,
Attorney-in-Fact

04/02/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The stock option fully vested on February 12, 2012.

(2) The stock option fully vested on November 12, 2012.

(3) The stock option vested and shall continue to vest as to 1/48th of the total number of shares on the anniversary date of each successive month commencing on January 13, 2013.

(4) The stock option vested and shall continue to vest as to 1/48th of the total number of shares on the anniversary date of each successive month commencing on March 20, 2013.

(5) The stock option vested and shall continue to vest as to 1/48th of the total number of shares on the anniversary date of each successive month commencing on February 27, 2014.

(6) The stock option fully vested on July 12, 2008.

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Remarks:

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Exhibit List - Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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