Health Insurance Innovations, Inc.

Form 4 July 03, 2014

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287

Expires: January 31, 2005

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5. Relationship of Reporting Person(s) to

Issuer

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

2. Issuer Name and Ticker or Trading

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Symbol

1(b).

(Print or Type Responses)

Kosloske Michael W

1. Name and Address of Reporting Person \*

Trostosite 1	THE THE THE		Health [HIIQ]		ce Innova	tions,	Inc.	(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)				_	_X Director _X Officer (give t	X 10%	Owner or (specify	
15438 N. F AVENUE,	FLORIDA SUITE 201		07/01/2	•			b	below) below) Chairman, President and CEO			
	(Street)		4. If Amendment, Date Original 6. Individual or Joint/Group Filing(0				g(Check				
			Filed(Mo	onth/Day/Y	ear)		_	Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting			
TAMPA, F	FL 33613							erson	ore man one Ke	porting	
(City)	(State)	(Zip)	Tab	ole I - Non	-Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Dat (Month/Day/Year)		Date, if	3. Transact Code (Instr. 8)	iomr Dispos (Instr. 3,	sed of		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Class A Common Stock	07/01/2014			S	11,359 (1)	D	\$ 11.9822 (2)	3,216	D		
Class A Common Stock	07/01/2014			S	200 (1)	D	\$ 12.5175 (3)	3,016	D		
Class A Common Stock	07/02/2014			S	2,916 (1)	D	\$ 11.6671 (4)	100	D		
Class A								8,900	I	See	

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Common		Footnote
Stock		(5)
Class A		See
Common	8,566,667 (6) I	Footnote
Stock		<u>(6)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative	2. Conversion	3. Transaction Date (Month/Day/Year)		4. Transacti	5. orNumber	6. Date Exerc Expiration D		7. Title a		8. Price of Derivative	9. Nu Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	•		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities			(Instr. 3	and 4)		Own
	Security				Acquired						Follo
					(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
								А	mount		
						<b>5</b> .	<b>.</b>	01			
						Date	Expiration	Title N	lumber		
						Exercisable	Date	of	f		
				Code V	(A) (D)			S	hares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
Kosloske Michael W 15438 N. FLORIDA AVENUE SUITE 201 TAMPA, FL 33613	X	X	Chairman, President and CEO				
Kosloske Lori 15438 N. FLORIDA AVENUE SUITE 201 TAMPA, FL 33613	X						
Health Plan Intermediaires, LLC C/O HEALTH INSURANCE INNOVATIONS, INC. 15438 N. FLORIDA AVENUE, SUITE 201 TAMPA, FL 33613		X					
		X					

Reporting Owners 2

Health Plan Intermediaries Sub, LLC C/O HEALTH INSURANCE INNOVATIONS, INC. 15438 N. FLORIDA AVENUE, SUITE 201 TAMPA, FL 33613

## **Signatures**

/s/ Joan Rodgers, as Attorney-in-Fact

07/03/2014

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan, which was adopted by Mr. Kosloske on December 13, 2013.
- The price reported in Column 4 represents the weighted average price of multiple transactions with a range of prices between \$11.49 to \$12.44 inclusive. Mr. Kosloske undertakes to provide, upon request by the SEC, Health Insurance Innovations, Inc., or a security holder of Health Insurance Innovations, Inc., full information regarding the number of shares sold at each separate price within the range set forth above.
- The price reported in Column 4 represents the weighted average price of multiple transactions with a range of prices between \$12.505 to \$12.53 inclusive. Mr. Kosloske undertakes to provide, upon request by the SEC, Health Insurance Innovations, Inc., or a security holder of Health Insurance Innovations, Inc., full information regarding the number of shares sold at each separate price within the range set forth above.
- The price reported in Column 4 represents the weighted average price of multiple transactions with a range of prices between \$11.5 to \$11.86 inclusive. Mr. Kosloske undertakes to provide, upon request by the SEC, Health Insurance Innovations, Inc., or a security holder of Health Insurance Innovations, Inc., full information regarding the number of shares sold at each separate price within the range set forth above.
- (5) By Lori Kosloske. Michael Kosloske and Lori Kosloske are husband and wife.
  - This number of shares consists of 8,480,000 shares of Class B Common Stock held of record by Health Plan Intermediaries, LLC ("HPI") and 86,667 shares of Class B Common Stock held by Health Plan Intermediaries Sub, LLC ("HPIS"). Michael Kosloske is the sole member and primary manager of HPI, and has sole voting and dispositive power over the shares held by HPI. HPI is
- the sole managing member of HPIS and has sole voting and dispositive power over the shares held by HPIS. Mr. Kosloske, by virtue of his control of HPI and HPI's control of HPIS, may be deemed to beneficially own all the shares of Class B Common Stock held of record by each of HPI and HPIS. The shares of Class B Common Stock, together with the Series B Membership Interests of HPI, are exchangeable, at Mr. Kosloske's election, for equal numbers of shares of Class A Common Stock. This exchange right has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3