Edgar Filing: NICHOLAS FINANCIAL INC - Form 4/A

NICHOLAS F Form 4/A May 14, 2015	FINANCIAL	L INC									
FORM	Λ									PPROVAL	
-	UNIII	ED STATES		ITIES AN hington, 1			NGE (COMMISSION	OMB Number:	3235-0287	
Check this if no longe subject to Section 16 Form 4 or	NGES IN BENEFICIAL OWNERSHIP OF SECURITIES					Expires: Estimated a burden hou response	irs per				
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940											
(Print or Type Re	esponses)										
1. Name and Address of Reporting Person <u>*</u> FINKENBRINK RALPH			2. Issuer Name and Ticker or Trading Symbol NICHOLAS FINANCIAL INC				-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
			[NICK]	[NICK]				(Chee	ek an applicable)		
	(Last)(First)(Middle)3. Date of Earlies (Month/Day/Yea54 MCMULLEN BOOTH03/31/2013DAD, BUILDING C, SUITE 501B			ay/Year)	2 Delow)				e title 10% Owner below) ident and CEO		
	(Street)	50	4. If Amendment, Date Origina Filed(Month/Day/Year) 06/11/2013					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting			
CLEARWAT	EK, FL 337	59						Person		1 0	
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	ecuri	ties Ac	quired, Disposed o	f, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Executi any		3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3,	(A) o of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock								144,803 <u>(1)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
FINKENBRINK RALPH 2454 MCMULLEN BOOTH ROAD BUILDING C, SUITE 501B CLEARWATER, FL 33759	Х		President and CEO				
Signatures							

/s/ Ralph T.	05/14/2015
Finkenbrink	03/14/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The reporting person's original Form 4 incorrectly reported the vesting of 15,000 shares of restricted stock as a grant or award pursuant to (1) Rule 16b-3(d). The grant or award of such 15,000 shares was made on April 15, 2010 and was previously reported on a Form 4 filed on July 14, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.