## Edgar Filing: CARVER BANCORP INC - Form 4

CARVER BA	ANCORP INC											
Form 4												
August 18, 2	015											
FORM	4										PPROVAL	
	UNITE	D STATE				ND EXC D.C. 205		NGE (	COMMISSION	OMB Number:	3235-0287	
Check thi if no long										Expires:	January 31	
subject to		EMENT (	<b>)F CHAN</b>	GES IN BENEFICIAL OW					NERSHIP OF	Estimated a	2005 average	
	Section 16.				JRI	TIES				burden hours per		
Form 4 or Form 5			Castion 1	(a) af	41a a	Cit	a E			response	0.5	
obligation	10							-	ge Act of 1934, f 1935 or Sectio	n		
may cont See Instru 1(b).	inue.		) of the In	•		•	- ·			11		
(Print or Type F	Responses)											
Pugh Michael T. Symi CAI						Ticker or '		-	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
							0 [0]	III ( )				
(Last)	(First)	(Middle)		Date of Earliest Transaction Ionth/Day/Year)					Director	Owner		
75 WEST 12	25TH STREET	[	08/17/20	-	,				X Officer (give below)			
	(Street)		4. If Ame	ndment,	Dat	e Original			6. Individual or Jo	oint/Group Filin	1g(Check	
Filed(Mon						C			Applicable Line) _X_ Form filed by One Reporting Person			
NEW YORI	K, NY 10027								Form filed by M Person	Iore than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Nor	1-De	erivative S	Securi	ties Acc	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. D (Month/Day/Year) Execu any		ion Date, if	3.4. Securities AcquiredTransaction(A) or Disposed ofCode(D)			d of	Securities Beneficially	6. Ownership Form: Direct D) or			
			n/Day/Year)	(Instr.			(A) or	5) Price	Owned Following Reported Transaction(s) (Instr. 3 and 4)	Indirect (I) (Instr. 4)		
Common Stock	08/17/2015			Р		100	А	\$ 5.85	100	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

Der Sect	itle of ivative urity tr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	(Month/Day/Year) erivative curities equired ) or sposed (D)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
Pugh Michael T. 75 WEST 125TH STREET NEW YORK, NY 10027			President & CEO						
Signatures									
/s/ Megan A. McKinney, pursu attorney	08/18/2015								
<u>**</u> Signature of Reporting	Person		Date						
Evolution of Pa	onon	0001							

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.