### Edgar Filing: REGENERON PHARMACEUTICALS INC - Form 4

#### REGENERON PHARMACEUTICALS INC

Form 4

October 08, 2015

## FORM 4

Check this box

if no longer

subject to

Section 16.

Form 4 or

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 

3235-0287 Number: January 31, Expires:

2005

**OMB APPROVAL** 

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

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Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

See Instruction 1(b).

may continue.

(Print or Type Responses)

| 1. Name and A Sanofi | Address of Repo | orting Person * | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer        |  |  |  |
|----------------------|-----------------|-----------------|--|---|--|--|--|
|                      |                 |                 | REGENERON PHARMACEUTICALS INC                      | (Check all applicable)                                  |  |  |  |
|                      |                 |                 | [REGN]   | DirectorX10% Owner                                      |  |  |  |
| (Last)               | (First)         | (Middle)        | 3. Date of Earliest Transaction (Month/Day/Year)   | Officer (give title Other (specify below)               |  |  |  |
| 54, RUE LA BOETIE    |                 |                 | 10/06/2015   |   |  |  |  |
| (Street)             |                 |                 | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check               |  |  |  |
|                      |                 |                 | Filed(Month/Day/Year)                              | Applicable Line) _X_ Form filed by One Reporting Person |  |  |  |
| PARIS IO             | 75008           |                 |  | Form filed by More than One Reporting                   |  |  |  |

### PARIS, I0 75008

(State)

(Zip)

(City)

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Person

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securi<br>omr Dispo<br>(Instr. 3, | sed of           | ` ′                      | Beneficially For Owned Directory Following or      | Ownership<br>Form:<br>Direct (D)<br>or Indirect | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |              |  |
|--------------------------------------|---|---|--------------------------------------|------------------|--------------------------|--|---|---|--------------|--|
|                                      |   |   | Code V                               | Amount           | (A)<br>or<br>t (D) Price |  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)  | (I)<br>(Instr. 4)   |              |  |
| Common<br>Stock                      | 10/06/2015                              |   | P P                                  | 1,965<br>(1) (2) | A                        | \$ 470.4102<br>\(\frac{(1)}{2}\) \(\frac{(3)}{2}\) | 23,099,495                                      | I   | See note     |  |
| Common<br>Stock                      | 10/06/2015                              |   | P                                    | 3,625<br>(1) (2) | A                        | \$ 471.6367 (5) (1)                                | 23,103,120                                      | I   | See note (4) |  |
| Common<br>Stock                      | 10/06/2015                              |   | P                                    | 2,650<br>(1) (2) | A                        | \$ 472.3657 (6) (1)                                | 23,105,770                                      | I   | See note     |  |
|                                      | 10/06/2015                              |   | P                                    |                  | A                        |  | 23,106,770                                      | I   |              |  |

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| Common<br>Stock |            |   | 1,000<br>(1) (2)   | \$ 473.3125 (7) (1)   |            |   | See note (4) |
|-----------------|------------|---|--|-----------------------|------------|---|--------------|
| Common<br>Stock | 10/06/2015 | P | $ \begin{array}{cc} 1,000 \\ \underline{^{(1)}} \ \underline{^{(2)}} \end{array} $ A | \$ 474.265<br>(8) (1) | 23,107,770 | I | See note (4) |
| Common<br>Stock | 10/06/2015 | P | $\frac{700}{(2)} \frac{(1)}{}$ A   | \$ 476.3543 (9) (1)   | 23,108,470 | I | See note (4) |
| Common<br>Stock | 10/06/2015 | P | 100 (2) A  | \$ 476.85<br>(10)     | 23,108,570 | I | See note     |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 4.<br>Transactic<br>Code<br>(Instr. 8) | 5. DiNumber of Derivative Securities Acquired (A) or Disposed |                     | ate                | 7. Titl<br>Amou<br>Under<br>Secur<br>(Instr. | int of<br>rlying                       | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Owne<br>Follo<br>Repo<br>Trans |
|---|---|--------------------------------------|--|---|---------------------|--------------------|--|--|---|---|
|   |   |                                      |  | of (D)<br>(Instr. 3,<br>4, and 5)                             |                     |                    |  |  |   | (Instr  |
|   |   |                                      | Code V                                 | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |   |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
| •                              | Director      | 10% Owner | Officer | Other |  |  |  |
| Sanofi                         |               |           |         |       |  |  |  |
| 54, RUE LA BOETIE              |               | X         |         |       |  |  |  |
| PARIS, I0 75008                |               |           |         |       |  |  |  |

# **Signatures**

/s/ John Felitti, Associate Vice President, Corporate Law, Financial & Securities 10/08/2015 Law

> Date \*\*Signature of Reporting Person

2 Reporting Owners

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## **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The number of securities reported represents an aggregate number of shares purchased in multiple market transactions over a range of purchase prices. The price reported represents the weighted average price per share. The Reporting Person undertakes to provide the **(1)**
- staff of the SEC, the Issuer, or a stockholder of the Issuer, upon request, the number of shares purchased by the Reporting Person at each separate price within the range.
- Represents shares acquired directly by sanofi-aventis Amerique du Nord ("SAAN"). **(2)**
- Purchase prices range from \$470.00 to \$470.99 per share, inclusive. **(3)** 
  - Indirectly owned through (a) SAAN, a direct, wholly-owned subsidiary of Sanofi, and (b) Aventis Pharmaceuticals Inc. ("Aventis"), an indirect, wholly-owned subsidiary of SAAN. After giving effect to all acquisitions reported on this Form 4, the number of shares
- beneficially owned directly by SAAN and Aventis was 20,309,018 shares and 2,799,552 shares, respectively. Pursuant to the Amended **(4)** and Restated Investor Agreement, dated as of January 11, 2014, by and among Sanofi, SAAN, sanofi-aventis US LLC, Aventis (collectively, the "Sanofi Parties") and the Issuer, the Sanofi Parties have agreed to vote their respective shares of the Issuer, subject to specified exceptions, in accordance with the recommendation of the Issuer's Board of Directors.
- Purchase prices range from \$471.00 to \$471.94 per share, inclusive. **(5)**
- Purchase prices range from \$472.00 to \$472.96 per share, inclusive. **(6)**
- Purchase prices range from \$473.00 to \$473.76 per share, inclusive. **(7)**
- **(8)** Purchase prices range from \$474.01 to \$474.45 per share, inclusive.
- **(9)** Purchase prices range from \$475.82 to \$476.75 per share, inclusive.
- Purchase price was \$476.85 per share.

#### **Remarks:**

Due to technical limitation of the number of transactions reported, this report is 2 of 2.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.