Edgar Filing: REPLIGEN CORP - Form 4

| REPLIGEN Form 4 | CORP | | | | | | | | | | |
|---|---------------------|----------------|---|---|-------------|------------------|------------|---|--|--------------|--|
| May 26, 201 | 6 | | | | | | | | | | |
| FORM A | | | | | | | | | PPROVAL | | |
| UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | OMB Number: | 3235-0287 | | |
| Check the | | | vv as | inington, | D.C. 20. | 747 | | | Expires: | January 31, | |
| Subject to | | | | GES IN BENEFICIAL OWNERSHIP (SECURITIES | | | | | Expires. 2005 Estimated average burden hours per response 0.5 | | |
| Form 5 obligation may cont <i>See</i> Instru 1(b). | ns Section 1 | 7(a) of the | | ility Hold | ling Com | pany | Act of | e Act of 1934, E 1935 or Sectio 40 | n | | |
| (Print or Type I | Responses) | | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Benjamin Howard | | | 2. Issuer Name and Ticker or Trading Symbol REPLIGEN CORP [RGEN] | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Check | | | | | k all applicable) | | | |
| C/O REPLIC CORPORA BLDG 1, ST | TION, 41 SEY | ON ST, | (Month/D 05/24/20 | • | | | | below) | title 10% below) iness Developm | | |
| | | | | ndment, Date Original th/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person | | | |
| (City) | (State) | (Zip) | Tabl | e I - Non-D | erivative S | Securi | ties Aca | | f or Reneficial | lv Owned | |
| 1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Dat any (Month/Day/Year) | | | med on Date, if | Code (Instr. 3, 4 and 5) r) (Instr. 8) | | | | 5. Amount of Securities Beneficially Owned Following Reported | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of | |
| Common | | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | |
| Common stock | 05/24/2016 | | | М | 10,000 | А | \$ 6.23 | 28,626 | D | | |
| Reminder: Rep | ort on a separate l | ine for each c | lass of secu | rities benefi | cially own | ed dire | ctly or i | ndirectly. | | | |

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount o Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--|--------------------|--|------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Share |
| Stock Option (Right to Buy) | \$ 6.23 | 05/24/2016 | | М | 10,000 | 02/28/2014 <u>(1)</u> | 02/28/2023 | Common Stock | 10,000 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--|---------------|-----------|---------|-------------------------|--|--|--|
| | Director | 10% Owner | Officer | Other | | | |
| Benjamin Howard C/O REPLIGEN CORPORATION 41 SEYON ST, BLDG 1, STE 100 WALTHAM, MA 02453 | | | | VP Business Development | | | |
| Signatures | | | | | | | |
| /s/ Jeffrey P. Leduc (Attorney in Fact) | 05 | 5/26/2016 | | | | | |
| **Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) 10,000 options vest and become exercisable on the following dates: 2/28/2014, 2/28/2015, 2/28/2016, 2/28/2017

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.