Edgar Filing: MODINE MANUFACTURING CO - Form 4

MODINE M Form 4 June 03, 201	ANUFACTURI	NG CO									
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION								OMB APPROVAL			
	• • UNITED	STATES		RITIES A shington.			NGE C	OMMISSION	OMB	3235-0287	
Check the	vv as	sinigton,	, D.C. 20.	549			Number:	January 31,			
if no long subject to Section 1 Form 4 o	6. SECURITIES							Expires: Estimated a burden hour response			
Form 5 obligations may continue.Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 19401(b).30(h) of the Investment Company Act of 1940											
(Print or Type I	Responses)										
BURKE THOMAS ANDREW Symbol				ODINE MANUFACTURING CO				5. Relationship of Reporting Person(s) to Issuer			
								(Check all applicable)			
(Month.			(Month/E	Date of Earliest Transaction onth/Day/Year) 31/2016				X Director 10% Owner X Officer (give title Other (specify below) below) President and CEO			
				Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed(Mor RACINE, WI 53403				Ionth/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State)	(Zip)	Tabl	le I - Non-I	Derivative S	Securi	ties Acq	uired, Disposed of,	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if any (Month/Day/Year)			3. 4. Securities Acquired Transactior(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A)				5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)			
Common stock	05/31/2016			А	89,100 (1)	А	\$0	399,930 <u>(2)</u>	D		
Common stock	06/02/2016			F	4,568 (3)	D	\$ 10.41	395,362 <u>(2)</u>	D		
Common stock	06/02/2016			F	6,414 (3)	D	\$ 10.41	388,948 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee stock option	\$ 10	05/31/2016		А	96,848	<u>(4)</u>	05/31/2026	Common stock	96,848

Reporting Owners

Reporting Owner Name / Address	Relationships						
reporting of their raine (reacted)	Director	10% Owner	Officer	Other			
BURKE THOMAS ANDREW 1500 DEKOVEN AVE. RACINE, WI 53403	Х		President and CEO				
Signatures							
Margaret C. Kelsey, Attorney		06/03/2016					

in Fact

<u>**</u>Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This stock award will vest in four equal annual increments commencing on 5/31/17.
- (2) This total includes 8168 units of Modine common stock held in the Reporting Person's Modine 401(k) Retirement Plan account.
- (3) Shares disposed of in a private transaction to cover tax withholding.
- (4) This option will vest in four equal annual increments commencing on 5/31/17.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.