Edgar Filing: MODINE MANUFACTURING CO - Form 4

| MODINE MA Form 4 June 03, 2016 | | G CO | | | | | | | | |
|--|---|--|--|---|--------|---------|---|---|--------------|--|
| FORM | Л | | | | | | | OMB AI | PPROVAL | |
| | UNITED S | Washington, D.C. 20549 | | | | | | OMB Number: | 3235-0287 | |
| Check this if no longe subject to Section 16 Form 4 or Form 5 | Filed purs | | | | | | | Expires:January 31, 2005Estimated average burden hours per response0.5 | | |
| obligation: may contin <i>See</i> Instruct 1(b). | nue. Section 17(a) |) of the Public Ut 30(h) of the Inv | • | | | | | 1 | | |
| (Print or Type R | esponses) | | | | | | | | | |
| 1. Name and Address of Reporting Person <u>*</u> Schwab Holger | | | Symbol Person(s) MODINE MANUFACTURING | | | | | nship of Reporting to Issuer Check all applicable) | | |
| (Last) (First) (Middle) ARTHUR-BMODINE-STRASSE 1 | | | | | | | ector 10% Owner ficer (give Other (specify below) Regional VP - Europe | | | |
| (Street) | | | Filed(Month/Day/Year) Filing(Che _X_Form f | | | | | ial or Joint/Group ck Applicable Line) ïled by One Reporting Person | | |
| FILDERSTA | DT-BONLAND | EN, 2M D-70794 | | | | | Form fill Reporting P | led by More that erson | n One | |
| (City) | (State) | (Zip) | Table | I - Non-De | rivati | ve Secu | rities Acquired, Di Owned | sposed of, or | Beneficially | |
| 1.Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | | 3. Transactio Code (Instr. 8) Code V | on(A) or Dis (D) (Instr. 3, 4 Amount | sposed | of | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | | |
| Common stock | 05/31/2016 | | А | 11,407 (1) | А | \$0 | 36,777 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactio Code (Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Employee stock option | \$ 10 | 05/31/2016 | | А | 12,399 | (2) | 05/31/2026 | Common stock | 12,399 |

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Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|----------------------|-------|--|--|
| | Director | 10% Owner | Officer | Other | | |
| Schwab Holger ARTHUR-BMODINE-STRASSE 1 FILDERSTADT-BONLANDEN, 2M D-70794 | | | Regional VP - Europe | | | |
| Signatures | | | | | | |

ignatures

Margaret C. Kelsey, Attorney 06/03/2016 in Fact

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) This stock will vest in four equal annual increments commencing on 5/31/17.

(2) This option will vest in four equal annual increments commencing on 5/31/17.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays

a currently valid OMB number.