

Bankwell Financial Group, Inc.
Form 4
December 14, 2016

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Bauer George P

2. Issuer Name and Ticker or Trading Symbol
Bankwell Financial Group, Inc.
[BWFG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
11/14/2016

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O BANKWELL FINANCIAL GROUP, INC., 220 ELM STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

NEW CANAAN, CT 06840

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock ⁽¹⁾					200	D	
Common Stock	11/14/2016		S	696	D \$ 26.75	184,339	I Held in Foundation
Common Stock	11/15/2016		S	3,000	D \$ 26.77 ⁽²⁾	181,339	I Held in Foundation
Common Stock	11/16/2016		S	1,300	D \$ 26.85	180,039	I Held in Foundation
Common Stock	11/17/2016		S	2,000	D \$ 26.85	178,039	I Held in Foundation

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Common Stock	11/18/2016	S	3,792	D	\$ 27.0807 (3)	174,247	I	Held in Foundation
Common Stock	11/21/2016	S	4,153	D	\$ 27.2654 (4)	170,094	I	Held in Foundation
Common Stock	11/28/2016	S	2,000	D	\$ 28.4	168,094	I	Held in Foundation
Common Stock	12/09/2016	S	7,294	D	\$ 30.3823 (5)	160,800	I	Held in Foundation
Common Stock	11/22/2016	S	3,094	D	\$ 28.6354 (7)	284,552 (6)	D	
Common Stock	11/23/2016	S	6,784	D	\$ 28.7705 (8)	277,768	D	
Common Stock	11/25/2016	S	100	D	\$ 28.75	277,668	D	
Common Stock	11/28/2016	S	84	D	\$ 28.95	277,584	D	
Common Stock	11/29/2016	S	2,099	D	\$ 28.8883 (9)	275,485	D	
Common Stock	11/30/2016	S	2,667	D	\$ 29.175 (10)	272,818	D	
Common Stock	12/01/2016	S	2,009	D	\$ 29.2011 (11)	270,809	D	
Common Stock	12/02/2016	S	3,264	D	\$ 29.2429 (12)	267,545	D	
Common Stock	12/05/2016	S	2,005	D	\$ 29.4	265,540	D	
Common Stock	12/06/2016	S	4,772	D	\$ 29.4081 (13)	260,768	D	
Common Stock	12/07/2016	S	5,022	D	\$ 30.081 (14)	255,746	D	
Common Stock	12/08/2016	S	7,675	D	\$ 30.1763 (15)	248,071	D	

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- (4) Range of reported sale price is \$27.25 - \$27.30. Reporting person agrees to provide individual transaction information to the SEC upon request.
- (5) Range of reported sale price is \$30.25 - \$30.50. Reporting person agrees to provide individual transaction information to the SEC upon request.
1,000 shares of restricted stock granted pursuant to the 2012 Bankwell Financial Group, Inc. Stock Plan. The shares vest in three substantially equal installments - the first immediately on December 15, 2014 and then on each of December 3, 2015 and December 3, 2016. As of today, all Shares have vested.
- (6) Range of reported sale price is \$28.60 - \$28.70. Reporting person agrees to provide individual transaction information to the SEC upon request.
- (7) Range of reported sale price is \$28.70 - \$28.80. Reporting person agrees to provide individual transaction information to the SEC upon request.
- (8) Range of reported sale price is \$28.80 - \$29.06. Reporting person agrees to provide individual transaction information to the SEC upon request.
- (9) Range of reported sale price is \$29.15 - \$29.25. Reporting person agrees to provide individual transaction information to the SEC upon request.
- (10) Range of reported sale price is \$29.20 - \$29.45. Reporting person agrees to provide individual transaction information to the SEC upon request.
- (11) Range of reported sale price is \$29.20 - \$29.50. Reporting person agrees to provide individual transaction information to the SEC upon request.
- (12) Range of reported sale price is \$29.40 - \$29.45. Reporting person agrees to provide individual transaction information to the SEC upon request.
- (13) Range of reported sale price is \$29.90 - \$30.42. Reporting person agrees to provide individual transaction information to the SEC upon request.
- (14) Range of reported sale price is \$30.11 - \$30.40. Reporting person agrees to provide individual transaction information to the SEC upon request.
- (15)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.