LANDY EUGENE W Form 4

May 16, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue.

See Instruction

1. Name and Address of Reporting Person \*

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

Common

Stock

05/15/2018

LANDY EUGENE W Issuer Symbol MONMOUTH REAL ESTATE (Check all applicable) INVESTMENT CORP [MNR] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) 3499 RT. 9 NORTH, SUITE 3D 05/15/2018 Chairman of the Board (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting FREEHOLD, NJ 07728 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 7. Nature of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Ownership Indirect (Instr. 3, 4 and 5) (Instr. 3) Code Beneficially Form: Beneficial (Month/Day/Year) Owned Direct (D) Ownership (Instr. 8) Following or Indirect (Instr. 4) Reported (A) Transaction(s) (Instr. 4) or (Instr. 3 and 4) Code V Amount (D) Price **MNR** Common 852,241.599 D Stock Eugene W. **MNR** Landy and Common 179,405 I Gloria Landy Stock Family Foundation **MNR** 

675.675 A

Juniper Plaza

Associates

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

38,056.294

(1)

T

Estimated average

burden hours per

### Edgar Filing: LANDY EUGENE W - Form 4

		J	J										
MNR Common Stock				29,527.275		5 I	Windsor Industrial Park Associates						
MNR Common Stock						97,913.57	I	Spouse					
MNR Common Stock						192,293.62	2 I	Landy & Landy Employe Pension	ees'				
MNR Common Stock						13,048	I	Landy Investme Ltd.	ents,				
MNR Common Stock				225,426.819 I			19 I	Landy & Landy Employees' Profit Sharing Plan					
Reminder: R	eport on a sepa	arate line for each clas	ss of securities benefi	Person informa require	s who res ation cont d to respo	pond to the c ained in this f and unless the	orm are not e form	SEC 1474 (9-02)					
displays a currently valid OMB control number.  Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)		4. Transacti Code (Instr. 8)	•		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Sect (Inst				
01.61				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Qualified Stock Option to Purchase Common Stock	\$ 17.8					01/03/2019	01/03/2026	MNR Common Stock	65,000				

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Qualified Stock Option to Purchase MNR Common Stock	\$ 15.04	01/04/2018	01/04/2025	MNR Common Stock	65,000
Qualified Stock Option to Purchase MNR Common Stock	\$ 10.37	01/05/2017	01/05/2024	MNR Common Stock	65,000
Qualified Stock Option to Purchase MNR Common Stock	\$ 11.16	01/05/2016	01/05/2023	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 8.94	01/03/2015	01/03/2022	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 10.46	01/03/2014	01/03/2021	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 9.33	01/03/2013	01/03/2020	MNR Common Stock	65,000
Qualified Stock Option to Purchase Common Stock	\$ 8.72	01/03/2012	01/03/2019	MNR Common Stock	65,000

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

LANDY EUGENE W

3499 RT. 9 NORTH X Chairman of the Board

FREEHOLD, NJ 07728

## **Signatures**

Eugene W. 05/16/2018 Landy

\*\*Signature of Date
Reporting Person

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 675.675 shares purchased through the Dividend Reinvestment and Stock Purchase Plan on May 15, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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