

LANDMARK BANCORP INC

Form 4

August 03, 2016

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
BALL RICHARD

(Last) (First) (Middle)

2006 BROADWAY, SUITE D

(Street)

GREAT BEND, KS 67530

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
LANDMARK BANCORP INC
[LARK]

3. Date of Earliest Transaction
(Month/Day/Year)
03/02/2016

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☐ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	08/01/2016		A	400	A	\$ 25.75	81,070 D
Common Stock	03/02/2016		P	171	A	\$ 24.7446	21,522 ⁽¹⁾ I IRA/Pension
Common Stock	05/26/2016		P	167	A	\$ 25.5118	21,522 ⁽¹⁾ I IRA/Pension
Common Stock	03/02/2016		P	7	A	\$ 24.7446	904 ⁽¹⁾ I Spouse IRA
Common Stock	05/26/2016		P	7	A	\$ 25.5118	904 ⁽¹⁾ I Spouse IRA

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Common Stock	03/02/2016	P	4	A	\$ 24.7446	536 ⁽¹⁾	I	As Trustee
Common Stock	03/02/2016	P	4	A	\$ 25.5118	536 ⁽¹⁾	I	As Trustee
Common Stock						9,047	I	See Footnote ⁽²⁾
Common Stock						264 ⁽¹⁾	I	Spouse

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Owned Following Transaction (Instr. 5)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
BALL RICHARD 2006 BROADWAY, SUITE D GREAT BEND, KS 67530	X

Signatures

Mark A. Herpich, as Attorney-in-Fact for Richard Ball

08/03/2016

 Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) As adjusted for the Company's 5% stock dividend in December 2015.

(2) Represents shares held by a company in which Mr. Ball has a controlling interest.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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