## Edgar Filing: HARMON JAMES N - Form 4/A

HARMON J. Form 4/A	AMES N										
January 23, 2	2013										
FORM	14								OMB AI	PPROVAL	
	SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549						OMB Number:	3235-0287			
Check thi if no long subject to Section 1 Form 4 o Form 5	6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES								Expires:January 31Expires:2005Estimated averageburden hours perresponse0.5	
obligation may cont <i>See</i> Instru 1(b).	ns Section 17(	Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940									
(Print or Type F	Responses)										
1. Name and Address of Reporting Person <u>*</u> HARMON JAMES N			2. Issuer Name <b>and</b> Ticker or Trading Symbol CLEARSIGN COMBUSTION					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
		CORP [CLIR]									
(Last) (First) (Middle) 12870 INTERURBAN AVENUE SOUTH			<ul><li>3. Date of Earliest Transaction</li><li>(Month/Day/Year)</li><li>12/28/2012</li></ul>					Director 10% Owner Officer (give title Other (specify below) below) Chief Financial Officer			
SEATTLE	(Street) 4. If Amo Filed(Mo 01/18/2 SEATTLE, WA 96168				te Original			<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
								Person			
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, any (Month/Day/Year)		n Date, if	3. Transactic Code (Instr. 8)	4. Securit on(A) or Di (Instr. 3,	sposed 4 and 5	l of (D)	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
				Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common Stock	12/28/2012			D	62,500 (1)	D	\$ 2.2	63,100 <u>(3)</u>	D		
Common Stock	12/28/2012			А	$\underbrace{\overset{(2)}{\underline{}}}_{(2)}$	A	\$ 4.72	125,600 <u>(3)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		Date	7. Titl Amou Under Secur (Instr.	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
HARMON JAMES N 12870 INTERURBAN AVENUE SOUTH SEATTLE, WA 96168			Chief Financial Officer				
Signatures							
/s/ James N.							

Harmon 01/22/2013

<u>\*\*</u>Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

In September 2011 the Company issued an award of 75,000 shares of common stock (the "2011 Shares") from the Company's 2011 Equity Incentive Plan to Mr. Harmon (the "2011 Award"). All of the 2011 Shares were subject to a right of repurchase by the Company at a price of \$0.0001 per share upon the termination of Mr. Harmon's employment or other circumstances designated in the 2011 Award

(1) agreement. The right of repurchase over the 2011 Shares was to expire ratably and on a quarterly basis over the period beginning on October 1, 2012 and ending on June 30, 2015. On December 28, 2012, the Company amended the 2011 Award, reducing the number of shares subject to the 2011 Award from 75,000 shares of common stock to 12,500 shares of common stock. The amended total of 12,500 of the 2011 Shares are fully vested and no long subject to a repurchase right in favor of the Company.

The Company has a right of repurchase on 60,000 shares at a price of \$0.0001 per share upon the termination of Mr. Harmon's
 (2) employment or other circumstances designated in the Award agreement. These repurchase rights expire at the rate of 4,000 shares per calendar quarter, beginning on March 31, 2013 and ending on September 30, 2016.

(3) The Form 4 filed on January 18, 2013 incorrectly stated the number of shares of common stock owned by Mr. Harmon following the reported transactions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.