#### CENTURY ALUMINUM CO

Form 4 October 02, 2014

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

2005

0.5

January 31, Expires:

**OMB APPROVAL** 

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if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Glencore AG

2. Issuer Name and Ticker or Trading

Symbol

CENTURY ALUMINUM CO

[CENX]

(Last) (First) 3. Date of Earliest Transaction (Month/Day/Year)

09/30/2014

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

(Middle)

Director Officer (give title below)

X 10% Owner Other (specify

BAARERMATTSTRASSE 3, P.O. **BOX 1301** 

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

BAAR, V8 CH-6341

(City) (State) (Zip) 1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

4. Securities Acquired 5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect (I) (Instr. 4)

Beneficial Ownership (Instr. 4)

Code V

(A)

Reported Transaction(s) (Instr. 3 and 4)

(D) Price Amount

Common Stock

(Instr. 3)

09/30/2014

 $\mathbf{C}$ 55.584

37,138,978 (2)

 $D^{(3)}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: CENTURY ALUMINUM CO - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Derivative Expiration Date decurities (Month/Day/Year) acquired (A) r Disposed of D) Instr. 3, 4,		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Series A Convertible Preferred Stock	<u>(2)</u>	09/30/2014		C	555.84	(2)	(2)	Common Stock	55,584

# **Reporting Owners**

Reporting Owner Name / Address		Relationsh	ips	
reporting of their runter, runters	Director	10% Owner	Officer	Other
Glencore AG BAARERMATTSTRASSE 3 P.O. BOX 1301 BAAR, V8 CH-6341		X		
GLENCORE INTERNATIONAL AG BAARERMATTSTRASSE 3 P.O. BOX 1363 BAAR, V8 CH-6341		X		
Glencore plc BAARERMATTSTRASSE 3 P.O. BOX 777 BAAR V8 CH-6341		X		

## **Signatures**

GLENCORE AG, /s/ Stefan Peter, Title: Officer	10/02/2014
**Signature of Reporting Person	Date
GLENCORE AG, /s/ Andrew Caplan, Title: Officer	10/02/2014
**Signature of Reporting Person	Date
GLENCORE INTERNATIONAL AG, /s/ Kenneth Klassen, Title: Officer	10/02/2014
**Signature of Reporting Person	Date
GLENCORE INTERNATIONAL AG, /s/ Martin Haering, Title: Officer	10/02/2014
**Signature of Reporting Person	Date
GLENCORE PLC, /s/ Ivan Glasenberg, Title: Director	10/02/2014

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\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of Common Stock acquired upon conversion of 555.84 shares of Series A Convertible Preferred Stock on September 30, 2014.
- Each share of Series A Convertible Preferred Stock is convertible into 100 shares of Common Stock at the times and under the
- (2) circumstances described in the Certificate of Designation for the Series A Convertible Preferred Stock. The Series A Convertible Preferred Stock has no expiration date.
- The shares of Common Stock reported in Table I and the shares of Series A Convertible Preferred Stock reported in Table II are held directly by Glencore AG, a direct wholly-owned subsidiary of Glencore International AG, and indirectly by Glencore International AG and its parent, Glencore plc.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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