### CLEARSIGN COMBUSTION CORP

Form 4

January 26, 2017

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** 3235-0287

Number: January 31, Expires:

**OMB APPROVAL** 

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Check this box if no longer subject to Section 16. Form 4 or

**SECURITIES** Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue.

See Instruction 1(b).

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Kendrick Donald W

2. Issuer Name and Ticker or Trading

Symbol

**CLEARSIGN COMBUSTION** 

CORP [CLIR]

3. Date of Earliest Transaction

(Month/Day/Year) 01/25/2017

Director 10% Owner X\_ Officer (give title Other (specify

5. Relationship of Reporting Person(s) to

(Check all applicable)

below) See Remarks

12870 INTERURBAN AVENUE **SOUTH** 

(Street)

(State)

(First)

(Middle)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

SEATTLE, WA 98168

(City)	(State) (Zip	Table I	- Non-Deri	ivative Se	curitie	es Acqu	iired, Disposed of	f, or Beneficial	ly Owned
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties		5. Amount of	6.	7. Nature of
Security	(Month/Day/Year)	Execution Date, if	Transactio	onAcquired	d (A) o	r	Securities	Ownership	Indirect
(Instr. 3)		any	Code	Disposed	of (D	))	Beneficially	Form: Direct	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3,	4 and	5)	Owned	(D) or	Ownership
							Following	Indirect (I)	(Instr. 4)
					(4)		Reported	(Instr. 4)	
					(A)		Transaction(s)		
			Code V	Amount	(D)	Price	(Instr. 3 and 4)		
Common Stock/CLIR	01/25/2017		X	1,400	A	\$ 4 (1)	8,400	D	
Common Stock/CLIR	01/25/2017		X	2,600	A	\$ 4 (1)	15,600	I	By IRA

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	An or Nu of Sha
Subscription Rights (Right to Purchase)	\$ 4	12/27/2016		X		1,400	12/27/2016	01/13/2017	See Footnote	1,
Subscription Rights (Right to Purchase)	\$ 4	12/27/2016		X		2,600	12/27/2016	01/13/2017	See Footnote	2,
Warrant to Purchase Common Stock	\$ 4	01/25/2017		X	1,400		01/25/2017	01/25/2019	Common Stock (2)	1,
Warrant to Purchase Common Stock	\$ 4	01/25/2017		X	2,600		01/25/2017	01/25/2019	Common Stock (2)	2,

# **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Kendrick Donald W 12870 INTERURBAN AVENUE SOUTH SEATTLE, WA 98168			See Remarks				

# **Signatures**

/s/ Donald W. Kendrick	01/26/2017		
**Signature of Reporting Person	Date		

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# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The common stock and the warrants reported on this Form 4 were acquired pursuant to the exercise of subscription rights previously distributed by the Issuer to all holders of the Issuer's common stock. Each holder received 0.2 subscription rights per share of stock owned, and each right allowed the recipient to purchase one unit, consisting of one share of common stock and one warrant to purchase one share of common stock. The subscription price per unit was \$4.00.
- (2) Each warrant entitles the holder to purchase one share of the Company's common stock at an exercise price of \$4.00 from the date of issuance through January 25, 2019.

#### **Remarks:**

Senior Vice President of Technology

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.