Jantzen Beth Form 4 December 12, 2018

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

Expires:

Check this box if no longer

CHANGES IN BENEFICIAL OWNERSHIP OF

Number: 3235-0287 January 31,

2005

subject to Section 16. Form 4 or STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Estimated average burden hours per

Form 5 obligations may continue. *See* Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response... 0.5

1(b).

(Last)

Security

(Instr. 3)

(Print or Type Responses)

1. Name and Address of Reporting Person \*
Jantzen Beth

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

th Syn

Symbol
APPLIED DNA SCIENCES INC

(Check all applicable)

[APDN]

3. Date of Earliest Transaction

\_\_\_\_ Director \_\_\_\_ 10% Owner \_\_X\_ Officer (give title \_\_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check

50 HEALTH SCIENCES DRIVE

(Street)

(First)

12/10/2018

(Month/Day/Year)

Chief Financial Officer

12,10,2010

(Middle)

Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

(Instr. 4)

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

STONY BROOK, NY 11790

(City) (State) (Zip)

1.Title of 2. Transaction Date 2A. Deemed

(Month/Day/Year)

2A. Deemed 3. 4. Securities
Execution Date, if TransactionAcquired (A) or
any Code Disposed of (D)

5. Amount of 6. Own Securities Form: I Beneficially (D) or I Owned (I)

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership

(Instr. 4)

(Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5)

Following
Reported
Transaction(s)
(Instr. 3 and 4)

Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and 7. Title and Amoun Derivative Conversion (Month/Day/Year) Execution Date, if TransactionDerivative **Expiration Date** Underlying Securit Security or Exercise Code Securities (Month/Day/Year) (Instr. 3 and 4) any

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(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8	8)	Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amor or Numl of Share
Stock Option (right to buy)	\$ 8.16	12/10/2018		D <u>(1)</u>			4,167	<u>(1)</u>	12/10/2018(1)	Common Stock	4,10
Stock Option (right to buy)	\$ 8.16	12/10/2018		A(1)		4,167		12/10/2018	12/09/2023	Common Stock	4,10

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Topy and the transfer of the t	Director	10% Owner	Officer	Other			
Jantzen Beth			Chief				
50 HEALTH SCIENCES DRIVE			Financial				
STONY BROOK, NY 11790			Officer				

## **Signatures**

/s/ Beth Jantzen 12/12/2018

\*\*Signature of Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The two reported transactions involve an amendment of an outstanding option to extend the expiration date of such option from

(1) December 10, 2018 to December 09, 2023, resulting in the deemed cancellation of the "old" option and the grant of a replacement option. The option was originally granted on December 10, 2013 and provided for immediate vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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