#### Edgar Filing: WESTWOOD HOLDINGS GROUP INC - Form 4

#### WESTWOOD HOLDINGS GROUP INC

Form 4 June 29, 2009

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

response...

3235-0287 January 31,

0.5

Check this box if no longer

subject to Section 16. Form 4 or Form 5

obligations

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Expires: 2005 Estimated average burden hours per

**OMB APPROVAL** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(City)

(State)

(Zip)

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading BYRNE SUSAN M Issuer Symbol WESTWOOD HOLDINGS GROUP (Check all applicable) INC [WHG] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director X\_\_ 10% Owner Other (specify X\_ Officer (give title (Month/Day/Year) below) 200 CRESCENT COURT, SUITE 06/25/2009 Chief Investment Officer 1200 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting DALLAS, TX 75201

	Table I - No	on-Derivativ	ve Securities	s Acquired	, Disposed of	f, or Beneficiall	y Owned
1	2	4.0	.,	1(4) 5		_	7 37 .

		141	)IC 1 - 1\011-	Derivative	becu	rices Acqui	cu, Disposcu oi,	or Deficient	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	ection Date 2A. Deemed Day/Year) Execution Date, if any (Month/Day/Year)		omr Dispos	4. Securities Acquired (A)  or Disposed of (D)  (Instr. 3, 4 and 5)  (A)  or  Amount (D)  5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
common stock	06/25/2009		S(3)	3,000	D	\$ 41.3532 (1)	952,000	D	
common stock	06/26/2009		S(3)	14,100	D	\$ 41.6867 (2)	937,900	D	
common	06/29/2009		S(3)	7,000	D	\$ 41.95	930,900	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	nber Expiration Date		Amour	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underl	ying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securit	ties	(Instr. 5)	Bene
	Derivative				Securities			(Instr. :	3 and 4)		Owne
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									A		
									Amount		
						Date	Expiration		or		
						Exercisable	Date		Number		
				G 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

## **Reporting Owners**

Relationships Reporting Owner Name / Address

> Director 10% Owner Officer Other

BYRNE SUSAN M 200 CRESCENT COURT **SUITE 1200** DALLAS, TX 75201

X X Chief Investment Officer

**Signatures** 

William R. Hardcastle, Jr. as attorney 06/29/2009 in fact

> \*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Price reflected is the weighted-average sale price for shares sold. The range of sale prices for the transactions reported was \$41.00 to (1) \$41.71 per share. Full information regarding the number of shares sold at each separate price will be provided to the Commission staff, the issuer, or a security holder of the issuer upon request.
- Price reflected is the weighted-average sale price for shares sold. The range of sale prices for the transactions reported was \$41.00 to (2) \$41.99 per share. Full information regarding the number of shares sold at each separate price will be provided to the Commission staff, the issuer, or a security holder of the issuer upon request.
- (3) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 12, 2009. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 2

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