

HANMI FINANCIAL CORP
Form 8-K
May 24, 2018

**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

**Pursuant to Section 13 or 15(d) of
The Securities Exchange Act of 1934**

Date of Report (Date of earliest event reported) **May 23, 2018**

HANMI FINANCIAL CORPORATION

(Exact name of registrant as specified in its charter)

Delaware **000-30421** **95-4788120**
(State or other jurisdiction (Commission File Number) (IRS Employer Identification No.)
of incorporation)

3660 Wilshire Boulevard, Penthouse Suite A **90010**
Los Angeles, California
(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: **(213) 382-2200**

Not Applicable
(Former name or former address, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the Registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 or Rule 12b-2 of the Securities Exchange Act of 1934.

If an emerging growth company, indicate by check mark if the Registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.07 Submission of Matters to a Vote of Security Holders.

The annual meeting of stockholders of Hanmi Financial Corporation (the “Company”) was held on May 23, 2018. At the meeting, the stockholders voted on the following items:

- (1) Ten board nominees for a term of one year or until their successors are duly elected and qualified. The voting results are as follows:

| Nominee | For | Against | Abstain | Broker Non-Votes |
|--------------------|------------|---------|---------|------------------|
| Joseph K. Rho | 25,891,057 | 653,161 | 3,627 | 3,506,397 |
| John J. Ahn | 26,404,282 | 137,885 | 5,678 | 3,506,397 |
| Kiho Choi | 26,525,655 | 18,563 | 3,627 | 3,506,397 |
| Christie K. Chu | 26,472,283 | 69,884 | 5,678 | 3,506,397 |
| Harry Chung | 26,484,019 | 58,148 | 5,678 | 3,506,397 |
| Scott Diehl | 26,514,478 | 27,689 | 5,678 | 3,506,397 |
| C. G. Kum | 26,516,481 | 19,619 | 11,745 | 3,506,397 |
| David L. Rosenblum | 26,474,745 | 58,297 | 14,803 | 3,506,397 |
| Thomas J. Williams | 26,524,954 | 17,213 | 5,678 | 3,506,397 |
| Michael Yang | 26,484,020 | 58,147 | 5,678 | 3,506,397 |

- (2) The advisory vote on executive compensation paid to the Company’s Named Executive Officers (“Say On Pay”) as described in the proxy statement for the meeting. The voting results are as follows:

| For | Against | Abstain | Broker Non-Votes |
|------------|---------|---------|------------------|
| 25,737,306 | 798,953 | 11,586 | 3,506,397 |

- (3) The ratification of the selection of KPMG, LLP as the Company’s independent registered public accounting firm for the fiscal year ending December 31, 2018. The voting results are as follows:

| For | Against | Abstain | Broker Non-Votes |
|-----|---------|---------|------------------|
|-----|---------|---------|------------------|

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SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: May 24, 2018 HANMI FINANCIAL CORPORATION

By: /s/ C. G. Kum
C. G. Kum
President and Chief Executive Officer