

Edgar Filing: TriState Capital Holdings, Inc. - Form D

TriState Capital Holdings, Inc.  
 Form D  
 June 20, 2014

-width: 1">1. Title of Security  
 (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. Transaction Code  
 (Instr. 8) 4. Securities Acquired (A) or Disposed of (D)  
 (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following Reported Transaction(s)  
 (Instr. 3 and 4) 6. Ownership Form: Direct (D) or Indirect (I)  
 (Instr. 4) 7. Nature of Indirect Beneficial Ownership  
 (Instr. 4) Code V Amount (A) or (D) Price Common Stock 7,000 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Underlying Security (Instr. 3 and 4)	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title
Nonemployee Director Stock Option (right to buy)	\$ 17.53					05/02/2008 <sup>(1)</sup>	05/02/2013	Common Stock
Nonemployee Director Stock Option (right to buy)	\$ 20.96					12/13/2008 <sup>(1)</sup>	12/13/2013	common stock
Nonemployee Director Stock Option (right to buy)	\$ 12.75					12/11/2009 <sup>(2)</sup>	12/11/2018	Common Stock
Non-Employee Director Stock Option (right to buy)	\$ 13.01					06/01/2010 <sup>(2)</sup>	06/01/2019	Common Stock
	\$ 22.15	12/10/2009		A	1,000	12/10/2010 <sup>(2)</sup>	12/10/2019	

Nonemployee  
 Director Stock  
 Option (right to  
 buy)

Common  
 Stock

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Phillips Dean B 25 MAIN STREET SE MINNEAPOLIS, MN 55414	X			

## Signatures

/s/ Dean B.  
 Phillips

12/11/2009

Signature of  
 Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 20% per year for five years.
- (2) 25% per year for four years.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.