Menogan Annita M Form 4 February 25, 2013

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Menogan Annita M

(First)

(0, , )

6312 S. FIDDLER'S GREEN

(Middle)

Symbol **RED ROBIN GOURMET BURGERS INC [RRGB]** 

2. Issuer Name and Ticker or Trading

3. Date of Earliest Transaction

(Month/Day/Year) 02/21/2013

CIRCLE, SUITE 200N

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

**OMB** 

Number:

Expires:

response...

Estimated average

burden hours per

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

Director 10% Owner X\_ Officer (give title ) \_ Other (specify below)

Chief Legal Officer

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

**GREENWOOD** VILLAGE, CO 80111

(City)	(State) (	(Zip) <b>Tabl</b>	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	02/21/2013		Code V F	Amount 94 (1)	(D)	Price \$ 41.82	16,860	D	
Common Stock	02/22/2013		M	500	A	\$ 34.71	17,360	D	
Common Stock	02/22/2013		M	500	A	\$ 35.46	17,860	D	
Common Stock	02/22/2013		S	1,000	D	\$ 42.73 (2)	16,860 (3)	D	

#### Edgar Filing: Menogan Annita M - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

8. For Section (Institute 1)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)		6. Date Exerc Expiration D (Month/Day/	ate	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Employee Stock Option (Right to Buy)	\$ 34.71	02/22/2013		M	500	<u>(4)</u>	06/24/2021	Common Stock	500	
Employee Stock Option (Right to Buy)	\$ 35.46	02/22/2013		M	500	<u>(5)</u>	02/21/2022	Common Stock	500	

# **Reporting Owners**

Reporting Person

Reporting Owner Name / Address		Relationships					
		Director	10% Owner	Officer	Other		
Menogan Annita M 6312 S. FIDDLER'S GREEN CIRCLE SUITE 200N GREENWOOD VILLAGE, CO 80111				Chief Legal Officer			
Signatures							
/s/ Annita M. Menogan	02/25/2013						
**Signature of	Date						

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - In connection with the vesting of 296 time-based restricted stock units, which were granted on February 21, 2012 under the issuer's Second Amended and Restated 2007 Performance Incentive Plan, the reporting person sold 94 of such shares to the issuer to satisfy her
- (1) tax withholding obligations. The sale was approved by the issuer's Compensation Committee in accordance with Rule 16b-3(d)(1) of the Exchange Act (the "Act"), and as such, the sale is exempt from Section 16(b) of the Act pursuant to Rule 16b-3(e) promulgated thereunder.
- The price reported is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$42.709 to \$42.76, inclusive. The reporting person undertakes to provide to the issuer, any security holder of the issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (3) Includes 6,380 restricted stock units and 750 shares of restricted stock that are subject to vesting and forfeiture restrictions.
  - The option exercised is a portion of the grant to the reporting person made on 6/24/2011 under the issuer's Second Amended and Restated 2007 Performance Incentive Plan. 25% of the option vested on 6/24/2012, and thereafter 75% of the option vest in 36 substantially equal
- (4) monthly installments, with the first installment vesting on the same day of the month following the month in which the first anniversary of the award date occurred and an additional installment vesting on the same day of each of the 36 months thereafter. As of February 22, 2013, 2,003 shares of common stock underlying the option had vested.
- The option exercised is a portion of the grant to the reporting person made on 2/21/2012 under the issuer's Second Amended and Restated (5) 2007 Performance Incentive Plan. 25% of the option vests on each of the first, second, third and fourth anniversaries of the grant. As of February 22, 2013, 1,403 shares of common stock underlying the option had vested.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.