Edgar Filing: COWEN GROUP, INC. - Form 4

COWEN GR Form 4 March 12, 20												
FORM	FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION											
CURIVI 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287			
Check this if no long									Expires:	January 31,		
subject to		IENT OF C	CHAN	GES IN	ES IN BENEFICIAL OWNERSHIP OF					2005		
Section 10									Estimated average burden hours per			
Form 4 or									response	0.5		
Form 5	· ·						-	ge Act of 1934,				
obligation may conti				•	•	· ·		f 1935 or Sectio	n			
See Instru		30(h) of	the In	vestment	Compan	y Act	t of 194	40				
1(b).												
(Print or Type R	esponses)											
			2. Issuer Name and Ticker or Trading Symbol				ıg	5. Relationship of Reporting Person(s) to Issuer				
		C	OWEN	N GROUI	P, INC. [COW	/N]			、 、		
(Last)	(First) (N	Aiddle) 3.	Date of	Earliest Tr	ansaction			(Chec	k all applicable	;)		
			Month/Day/Year)					Director 10% Owner				
			03/10/2014					_X_Officer (give titleOther (specify				
LEXINGTON AVENUE								below) below) Chief Financial Officer				
			T C A									
			If Amendment, Date Original					6. Individual or Joint/Group Filing(Check				
Filed(iled(Month/Day/Year)				Applicable Line) _X_ Form filed by One Reporting Person				
NEW YORK	K, NY 10022								Iore than One Re			
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ities Acc	uired, Disposed of	f, or Beneficial	ly Owned		
1.Title of	2. Transaction Date	e 2A. Deemed	1	3.	4. Securi	ties A	cquired	5. Amount of	6. Ownership	7. Nature of		
Security	(Month/Day/Year)	Execution D	Date, if		on(A) or Di			Securities	Form: Direct			
(Instr. 3)	any		Code (D)			Beneficially	(D) or	Beneficial				
(Month/Day/				ay/Year) (Instr. 8) (Instr. 3, 4 and 5)			Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)			
			Reported				(111501. 4)	(111501. 4)				
						(A)		Transaction(s)				
				Code V	Amount	or (D)	Price	(Instr. 3 and 4)				
Class A							11100					
Common	03/10/2014			F	3,831	D	\$	587,571	D			
Stock					(1)		4.24					

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			Amou Unde Secur	le and int of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owno Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owners

Reporting Owner Name / Addr	ess	Relationships							
1	Director	10% Owner	Officer	Other					
Lasota Stephen COWEN GROUP, INC. 599 LEXINGTON AVENU NEW YORK, NY 10022	Έ		Chief Financial Officer						
Signatures									
/s/ Stephen A. Lasota	03/12/2014								
<u>**</u> Signature of	Date								

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of the Issuer's Class A Common Stock withheld to satisfy tax withholding obligations upon the vesting of restricted stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Person