

ETHAN ALLEN INTERIORS INC  
 Form 4  
 March 24, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**KATHWARI M FAROOQ**

2. Issuer Name and Ticker or Trading Symbol  
**ETHAN ALLEN INTERIORS INC [ETH]**

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
**ETHAN ALLEN DRIVE**  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
**03/20/2015**

Director  10% Owner  
 Officer (give title below)  Other (specify below)  
**Chairman, President & CEO**

**DANBURY, CT 06811**  
 (City) (State) (Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount or Price		
Common Stock	03/20/2015		G		30,000 D \$ 0	D	
Stock Options (right to buy)	03/20/2015		M		10,000 A \$ 15.93	D	
Stock Options (right to buy)	03/20/2015		M		60,000 A \$ 10.68	D	
	03/20/2015		M		50,000 A	D	2,242,073

Edgar Filing: ETHAN ALLEN INTERIORS INC - Form 4

Stock Options (right to buy)					\$ 14.86		
Stock Options (right to buy)	03/20/2015	F	<u>70,674</u> <sup>(1)</sup>	D	\$ 27.98	2,171,399	D
Stock Options (right to buy)	03/20/2015	M	180,000	A	\$ 13.61	2,351,399	D
Stock options (right to buy)	03/20/2015	F	<u>110,727</u> <sup>(1)</sup>	D	\$ 27.98	2,240,672	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Options (right to buy)	\$ 15.93	03/20/2015		M/K	10,000	11/11/2009 11/11/2018	Common Stock	10,000	
Common Stock (right to buy)	\$ 10.68	03/20/2015		M/K	60,000	07/01/2010 06/30/2019	Common Stock	60,000	
Stock Options (right to buy)	\$ 14.86	03/20/2015		M/K	50,000	02/03/2011 02/03/2020	Common Stock	50,000	

Stock  
Options  
(right to  
buy) \$ 13.61 03/20/2015 M/K 180,000 10/01/2012 10/01/2021 Common Stock 180,000

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
KATHWARI M FAROOQ ETHAN ALLEN DRIVE DANBURY, CT 06811	X	X	Chairman, President & CEO	

## Signatures

M. Farooq  
Kathwari 03/24/2015

\_\_Signature of Reporting Person Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Shares paid to Company by Reporting Person to cover cost of options and tax obligations; the remaining shares held by Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.