

ABIOMED INC
Form 4
August 07, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
BOLT WILLIAM J

(Last) (First) (Middle)

C/O ABIOMED, INC., 22 CHERRY HILL DRIVE

(Street)

DANVERS, MA 01923

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
ABIOMED INC [ABMD]

3. Date of Earliest Transaction (Month/Day/Year)
08/06/2015

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
SVP, QA and Regulatory Affairs

6. Individual or Joint/Group Filing (Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, \$.01 par	08/06/2015		M ⁽⁴⁾		30,000 ⁽⁴⁾	A	\$ 10.03 128,325
Common Stock, \$.01 par	08/06/2015		S ⁽⁴⁾		3,800 ⁽⁴⁾	D	\$ 92.794 ⁽⁵⁾ 124,525
Common Stock, \$.01 par	08/06/2015		S ⁽⁴⁾		6,800 ⁽⁴⁾	D	\$ 93.5479 ⁽⁶⁾ 117,725
Common Stock,	08/06/2015		S ⁽⁴⁾		5,994 ⁽⁴⁾	D	\$ 94.7648 111,731

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Common Stock, \$0.01 par	08/06/2015	S ⁽⁴⁾	11,446 ⁽⁴⁾	D	\$ 95.7277 ⁽⁸⁾	100,285	D
Common Stock, \$0.01 par	08/06/2015	S ⁽⁴⁾	9,860 ⁽⁴⁾	D	\$ 96.681 ⁽⁹⁾	90,425	D
Common Stock, \$0.01 par	08/06/2015	S ⁽⁴⁾	100 ⁽⁴⁾	D	\$ 97.36	90,325	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	Amount or Number of Shares	
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock option (right to buy) ⁽²⁾	\$ 13.8					05/23/2009 ⁽¹⁾	05/23/2018	Common Stock	0
Stock Option (right to buy) ⁽²⁾	\$ 10.03	08/06/2015		M ⁽⁴⁾	30,000 ⁽⁴⁾	06/03/2011 ⁽¹⁾	06/03/2020	Common Stock	0
Stock Option (right to buy) ⁽²⁾	\$ 22.44					05/22/2013 ⁽¹⁾	05/22/2022	Common Stock	0
Stock Option (Right to	\$ 23.15					05/14/2014 ⁽¹⁾	05/14/2023	Common stock	0

Buy) <u>(2)</u>					
Stock					
Option	\$ 21.55	05/14/2015 ⁽³⁾	05/14/2024	Common	0
(Right to				Stock	
Buy) <u>(2)</u>					
Stock					
Option	\$ 66.25	05/13/2016 ⁽³⁾	05/13/2025	Common	0
(Right to				Stock	
Buy) <u>(2)</u>					

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BOLT WILLIAM J C/O ABIOMED, INC. 22 CHERRY HILL DRIVE DANVERS, MA 01923			SVP, QA and Regulatory Affairs	

Signatures

/s/ Stephen C. McEvoy (by power of attorney) 08/07/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options become exercisable in annual 25% increments, commencing on the date shown in Table II, Column 6.
- (2) Grant to reporting person of this option to buy the number of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.
- (3) These options become exercisable in annual 33-1/3% increments, commencing on the date shown in Table II, Column 6.
- (4) Sale of common stock pursuant to reporting owner's 10b5-1 plan.
This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$92.5000 and \$92.9900. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (5) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$93.0000 and \$93.9750. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (6) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$94.2200 and \$95.2000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (7) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$95.2900 and \$96.2600. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (8) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$96.2900 and \$97.2300. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (9)

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.