

PUHY DOROTHY E
Form 4
May 09, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
PUHY DOROTHY E

2. Issuer Name and Ticker or Trading Symbol
ABIOMED INC [ABMD]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)

Director 10% Owner
 Officer (give title below) Other (specify below)

C/O ABIOMED, INC., 22 CHERRY HILL DRIVE

05/07/2018

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person
 Form filed by More than One Reporting Person

DANVERS, MA 01923

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D) Price		
Common Stock, \$0.01 par value	05/07/2018		M ⁽³⁾		13,500 ₍₃₎	A	\$ 9.99 46,668 D
Common Stock, \$0.01 par value	05/07/2018		S ⁽⁴⁾		1,229 ₍₄₎	D	\$ 345.1036 ₍₅₎ 45,439 D
Common Stock, \$0.01 par value	05/07/2018		S ⁽⁴⁾		1,664 ₍₄₎	D	\$ 346.2 ₍₆₎ 43,775 D

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Common Stock, \$0.01 par value	05/07/2018	S ⁽⁴⁾	3,012 ₍₄₎	D	\$ 347.0675 ₍₇₎	40,763	D	
Common Stock, \$0.01 par value	05/07/2018	S ⁽⁴⁾	1,500 ₍₄₎	D	\$ 348.072 ₍₈₎	39,263	D	
Common Stock, \$0.01 par value	05/07/2018	S ⁽⁴⁾	4,195 ₍₄₎	D	\$ 349.0706 ₍₉₎	35,068	D	
Common Stock, \$0.01 par value	05/07/2018	S ⁽⁴⁾	700 ₍₄₎	D	\$ 349.98 ₍₁₀₎	34,368	D	
Common Stock, \$0.01 par value	05/07/2018	S ⁽⁴⁾	900 ₍₄₎	D	\$ 351.0589 ₍₁₁₎	33,468	D	
Common Stock, \$0.01 par value	05/07/2018	S ⁽⁴⁾	300 ₍₄₎	D	\$ 351.97	33,168	D	
Common Stock, \$0.01 par value	11/09/2017	G ⁽¹²⁾	V 11,335 ₍₁₂₎	D	\$ 0	21,833	D	
Common Stock, \$0.01 par value	11/09/2017	G ⁽¹²⁾	V 11,335 ₍₁₂₎	A	\$ 0	11,335	I	By Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
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(Instr. 3, 4,
and 5)

	Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock									
Option (right to buy) ⁽¹⁾	\$ 9.99		05/07/2018	M ⁽³⁾	13,500	08/10/2011 ⁽²⁾	08/11/2020	Common Stock	13,500

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PUHY DOROTHY E C/O ABIOMED, INC. 22 CHERRY HILL DRIVE DANVERS, MA 01923	X			

Signatures

/s/ Stephen C. McEvoy (by power of attorney) 05/09/2018

Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant to reporting person of option to buy the number of shares of Common Stock set forth in Table II, Column 7, under the ABIOMED, Inc. 2008 Stock Incentive Plan.
- (2) This option becomes exercisable in full on the date set forth in Table II, Column 6.
- (3) Exercise of stock options pursuant to reporting owner's 10b5-1 plan.
- (4) Sale of common stock pursuant to reporting owner's 10b5-1 plan.
- (5) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$344.5600 and \$345.4900. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (6) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$345.6500 and \$346.3800. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (7) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$346.6000 and \$347.4600. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (8) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$347.8400 and \$348.4800. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.
- (9) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$348.5600 and \$349.4000. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

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(10) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$349.7900 and \$350.4100. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

(11) This price represents the weighted average sale price of multiple transactions on the reported date at prices that ranged between \$350.9600 and \$351.2300. Detailed information regarding the number of shares sold at each separate price will be provided upon request by the Commission staff, the Issuer, or a security holder of the Issuer.

(12) This transaction represents the transfer of common stock by bona fide gift from the reporting person to a trust.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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