Polsky Howard D. Form 4 May 16, 2018

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB

Number:

3235-0287 January 31,

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obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Polsky Howard D.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

(Check all applicable)

Issuer

(Middle)

(Zip)

3. Date of Earliest Transaction

Director

10% Owner

2300 CORPORATE PARK DRIVE

(Month/Day/Year) 05/15/2018

K12 INC [LRN]

X\_ Officer (give title Other (specify

below) General Counsel & Secretary

(Street)

(State)

(First)

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Price

15.12

HERNDON, VA 20171

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of 6. Ownership 7. Nature of Securities Form: Direct Indirect Beneficially (D) or Beneficial Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported

(A) V Amount (D)

Transaction(s) (Instr. 3 and 4)

Common Stock

05/15/2018

 $F^{(1)}$ 2,134 D

119,680

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Polsky Howard D. - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.                    | 5.         | 6. Date Exerc | cisable and     | 7. Title      | e and      | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|-----------------------|------------|---------------|-----------------|---------------|------------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | TransactionNumber     |            | Expiration D  | ate             | Amour         | nt of      | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code                  | of         | (Month/Day/   | Year)           | Underl        | ying       | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) Derivative |            |               | Securit         | ties          | (Instr. 5) | Bene        |        |
|             | Derivative  |                     |                    |                       | Securities |               |                 | (Instr.       | 3 and 4)   |             | Own    |
|             | Security    |                     |                    |                       | Acquired   | Acquired      |                 |               |            |             | Follo  |
|             | •           |                     |                    |                       | (A) or     |               |                 |               |            |             | Repo   |
|             |             |                     |                    |                       | Disposed   |               |                 |               |            |             | Trans  |
|             |             |                     |                    |                       | of (D)     |               |                 |               |            |             | (Instr |
|             |             |                     |                    |                       | (Instr. 3, |               |                 |               |            |             |        |
|             |             |                     |                    |                       | 4, and 5)  |               |                 |               |            |             |        |
|             |             |                     |                    |                       |            |               |                 |               | Amount     |             |        |
|             |             |                     |                    |                       |            |               |                 | or Title Numb |            |             |        |
|             |             |                     |                    |                       |            |               | Expiration Date |               |            |             |        |
|             |             |                     |                    |                       |            |               |                 |               |            |             |        |
|             |             |                     |                    | Code V                | (A) (D)    |               |                 |               | Shares     |             |        |
|             |             |                     |                    | Code v                | (A) (D)    |               |                 |               | Shares     |             |        |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Polsky Howard D. 2300 CORPORATE PARK DRIVE HERNDON, VA 20171

General Counsel & Secretary

## **Signatures**

/s/ John C. Grothaus, attorney-in-fact 05/16/2018

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Represents the number of shares withheld by the Company upon the vesting of restricted shares to cover the executive's withholding tax on income associated with the satisfaction of all vesting conditions. The number of shares withheld is based upon the closing price of a share of K12 common stock on the vesting date, or if the vesting date fell on a weekend or market holiday, upon the closing price of a share of K12 common stock on the most recent prior market day.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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