McAlmont Shaun Form 3 April 15, 2019

### FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement K12 INC [LRN] McAlmont Shaun (Month/Day/Year) 04/10/2019 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 2300 CORPORATE PARK (Check all applicable) **DRIVE** (Street) 6. Individual or Joint/Group 10% Owner Director \_X\_\_ Officer Other Filing(Check Applicable Line) (give title below) (specify below) \_X\_ Form filed by One Reporting EVP, Career Technical Ed. Person HERNDON, VAÂ 20171 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 4. Nature of Indirect Beneficial 1. Title of Security 2. Amount of Securities Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 5) (Instr. 4) Form: Direct (D) or Indirect (I) (Instr. 5) Â Common Stock D 45,000 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
	(Instr. 4)	Price of	Derivative	
	Title	Derivative	Security:	
		Security	Direct (D)	
	Expiration Date	Expiration Date Securities Underlying (Month/Day/Year) Derivative Security (Instr. 4)	Expiration Date Securities Underlying Conversion (Month/Day/Year) Derivative Security or Exercise (Instr. 4) Price of Derivative	Expiration Date Securities Underlying Conversion Ownership  (Month/Day/Year) Derivative Security or Exercise Form of  (Instr. 4) Price of Derivative  Title Derivative Security:

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Restricted Stock Right (1)	(1)	08/30/2021	Common Stock	118,265	\$ <u>(1)</u>	D	Â
Restricted Stock Right (2)	(2)	08/30/2021	Common Stock	138,606	\$ <u>(2)</u>	D	Â
Restricted Stock Right (3)	(3)	08/30/2021	Common Stock	181,545	\$ (3)	D	Â
Restricted Stock Right (4)	(4)	08/30/2021	Common Stock	181,545	\$ <u>(4)</u>	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
	Director	10% Owner	Officer	Other	
McAlmont Shaun 2300 CORPORATE PARK DRIVE HERNDON, VA 20171	Â	Â	EVP, Career Technical Ed.	Â	

### **Signatures**

/s/ John C. Grothaus, attorney-in-fact 04/15/2019

\*\*Signature of Reporting Person

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Each restricted stock right represents a contingent right to receive one share of K12 common stock. The restricted stock right vests upon (1) K12's common stock achieving an average stock price that equals or exceeds \$33.21 per share based on the average 30-calendar day closing stock price subsequent to seven days after the FY 2021 year end earnings release.
- Each restricted stock right represents a contingent right to receive one share of K12 common stock. The restricted stock right vests upon (2) K12's common stock achieving an average stock price that equals or exceeds \$37.35 per share based on the average 30-calendar day closing stock price subsequent to seven days after the FY 2021 year end earnings release.
- Each restricted stock right represents a contingent right to receive one share of K12 common stock. The restricted stock right vests upon (3) K12's common stock achieving an average stock price that equals or exceeds \$46.65 per share based on the average 30-calendar day closing stock price subsequent to seven days after the FY 2021 year end earnings release.
- Each restricted stock right represents a contingent right to receive one share of K12 common stock. The restricted stock right vests upon (4) K12's common stock achieving an average stock price that equals or exceeds \$57.38 per share based on the average 30-calendar day closing stock price subsequent to seven days after the FY 2021 year end earnings release.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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