

Avago Technologies LTD
Form 3
August 05, 2009

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|---|--|---|---|
| <p>1. Name and Address of Reporting Person *</p> <p>Â TAN HOCK E</p> <p>(Last) (First) (Middle)</p> <p>C/O AVAGO TECHNOLOGIES LIMITED,Â 350 WEST TRIMBLE ROAD</p> <p>(Street)</p> <p>SAN JOSE,Â CAÂ 95131</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>08/05/2009</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>Avago Technologies LTD [AVGO]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) President and CEO</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|---|--|---|---|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Ordinary Shares | 100,000 | D | Â |
| Ordinary Shares | 50,000 | I | By Trust ⁽¹⁾ |
| Ordinary Shares | 50,000 | I | By Trust ⁽²⁾ |
| Ordinary Shares | 50,000 | I | By Trust ⁽³⁾ |
| Ordinary Shares | 50,000 | I | By Trust ⁽⁴⁾ |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|--|--------------------|--|----------------------------------|--|--|---|
| | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | | |
| Stock Options (right to buy) | Â (5) | 04/12/2016 | Ordinary Shares | 925,000 | \$ 5 | D | Â |
| Stock Options (right to buy) | Â (6) | 04/12/2016 | Ordinary Shares | 1,425,000 | \$ 5 | D | Â |
| Stock Options (right to buy) | Â (7) | 03/02/2019 | Ordinary Shares | 300,000 | \$ 10 | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------|-------|
| | Director | 10% Owner | Officer | Other |
| TAN HOCK E C/O AVAGO TECHNOLOGIES LIMITED 350 WEST TRIMBLE ROAD SAN JOSE, CA 95131 | Â X | Â | Â President and CEO | Â |

Signatures

/s/ Patricia H. McCall, Attorney-in-Fact for Hock E. Tan 08/05/2009

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares held for the benefit of the Reporting Person's child by Robert M. Mitchell as Trustee for the Irrevocable Trust Agreement FBO J. Douglas Tan, dated January 31, 2003.
- (2) Shares held for the benefit of the Reporting Person's child by Robert M. Mitchell as Trustee for the Irrevocable Trust Agreement FBO J. Nicholas Tan, dated January 31, 2003.
- (3) Shares held for the benefit of the Reporting Person's child by Robert M. Mitchell as Trustee for the Irrevocable Trust Agreement FBO Y. Eva Tan, dated January 31, 2003.
- (4) Shares held by K. Lisa Yang as Trustee for the K. Lisa Yang and Hock E. Tan Dynasty Trust, dated June 17, 2004.
- (5) Option vests with respect to 20% of the shares subject thereto on each anniversary of December 1, 2005, such that the option will be fully vested and exercisable on December 1, 2010.
- (6) Option is exercisable with respect to 855,000 of the shares subject thereto. The remaining balance vests in two (2) successive and equal annual installments commencing on December 1, 2011, such that the option will be fully vested and exercisable on December 1, 2012.
- (7) Option vests with respect to 20% of the shares subject thereto on each anniversary of March 3, 2009, such that the option will be fully vested and exercisable on March 3, 2014.

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Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.
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