Sutin David Earl Form 4/A February 11, 2013

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

| 1. Name and Address of Reporting Person * Sutin David Earl |             |        | 2. Issuer Name <b>and</b> Ticker or Trading Symbol PATHEON INC [PTI] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)            |  |  |  |
|--|-------------|--------|--|---|--|--|--|
| (Last)   | (First) (Mi | ddle)  | 3. Date of Earliest Transaction                                      | (Check all applicable)  |  |  |  |
|  |             |        | (Month/Day/Year)   | _X_ Director 10% Owner  |  |  |  |
| 890 YONGE STREET, SUITE 1002                               |             | E 1002 | 12/17/2012   | Officer (give title Other (specify below)   |  |  |  |
|  | (Street)    |        | 4. If Amendment, Date Original                                       | 6. Individual or Joint/Group Filing(Check   |  |  |  |
|  |             |        | Filed(Month/Day/Year)  | Applicable Line)  |  |  |  |
| TORONTO, A6 M5N 1S7  |             |        | 01/02/2013   | _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |  |

| (City)           | (State) (A          | Table Table        | I - Non-Do | erivative S  | ecuriti   | ies Acq    | uired, Disposed o | f, or Beneficial | ly Owned     |
|------------------|---------------------|--------------------|------------|--------------|-----------|------------|-------------------|------------------|--------------|
| 1.Title of       | 2. Transaction Date | 2A. Deemed         | 3.         | 4. Securit   | ies Acc   | quired     | 5. Amount of      | 6.               | 7. Nature of |
| Security         | (Month/Day/Year)    | Execution Date, if | Transactio | on(A) or Dis | sposed    | of (D)     | Securities        | Ownership        | Indirect     |
| (Instr. 3)       |                     | any                | Code       | (Instr. 3, 4 | 4 and 5   | <b>5</b> ) | Beneficially      | Form: Direct     | Beneficial   |
|                  |                     | (Month/Day/Year)   | (Instr. 8) |              |           |            | Owned             | (D) or           | Ownership    |
|                  |                     |                    |            |              |           |            | Following         | Indirect (I)     | (Instr. 4)   |
|                  |                     |                    |            |              | (4)       |            | Reported          | (Instr. 4)       |              |
|                  |                     |                    |            |              | (A)       |            | Transaction(s)    |                  |              |
|                  |                     |                    | Code V     | Amount       | or<br>(D) | Price      | (Instr. 3 and 4)  |                  |              |
| Restricted       | 10/17/0010          |                    | <b>X</b> 7 | 16,454       |           | \$         | 26.454            | ъ                |              |
| Voting<br>Shares | 12/17/2012          |                    | X          | <u>(1)</u>   | A         | 3.24       | 36,454            | D                |              |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: Sutin David Earl - Form 4/A

| 1. | Title of  | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exer | cisable and | 7. Titl | le and   | 8. Price of | 9. Nu  |
|----|-----------|-------------|---------------------|--------------------|------------|------------|--------------|-------------|---------|----------|-------------|--------|
| D  | erivative | Conversion  | (Month/Day/Year)    | Execution Date, if | Transacti  | onNumber   | Expiration D | ate         | Amou    | ınt of   | Derivative  | Deriv  |
| S  | ecurity   | or Exercise |                     | any                | Code       | of         | (Month/Day/  | Year)       | Under   | lying    | Security    | Secui  |
| (I | nstr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e            |             | Secur   | ities    | (Instr. 5)  | Bene   |
|    |           | Derivative  |                     |                    |            | Securities | 3            |             | (Instr. | 3 and 4) |             | Owne   |
|    |           | Security    |                     |                    |            | Acquired   |              |             |         |          |             | Follo  |
|    |           | •           |                     |                    |            | (A) or     |              |             |         |          |             | Repo   |
|    |           |             |                     |                    |            | Disposed   |              |             |         |          |             | Trans  |
|    |           |             |                     |                    |            | of (D)     |              |             |         |          |             | (Instr |
|    |           |             |                     |                    |            | (Instr. 3, |              |             |         |          |             | `      |
|    |           |             |                     |                    |            | 4, and 5)  |              |             |         |          |             |        |
|    |           |             |                     |                    |            | , ,        |              |             |         |          |             |        |
|    |           |             |                     |                    |            |            |              |             |         | Amount   |             |        |
|    |           |             |                     |                    |            |            | Date         | Expiration  |         | or       |             |        |
|    |           |             |                     |                    |            |            | Exercisable  | Date        | Title   | Number   |             |        |
|    |           |             |                     |                    |            |            | Lacicisable  | Date        |         | of       |             |        |
|    |           |             |                     |                    | Code V     | (A) (D)    |              |             |         | Shares   |             |        |

# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| 1 6   | Director      | 10% Owner | Officer | Other |  |  |  |
| Sutin David Earl<br>890 YONGE STREET<br>SUITE 1002<br>TORONTO, A6 M5N 1S7 | X             |           |         |       |  |  |  |

# **Signatures**

/s/ David S Field, Attorney-in-fact

02/11/2013

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - On 11/27/12, the Company issued, to each holder of record as of such date, subscription rights to acquire restricted voting shares of the Company (the "Rights Offering"). On December 17, 2012, the reporting person fully exercised his basic subscription privilege to purchase 1,454 restricted voting shares, and exercised his over-subscription privilege to purchase additional shares unclaimed by other
- (1) rights holders. At the time of the original Form 4, the reporting person determined that he would be allocated an additional 144 shares pursuant to his over-subscription privilege. After the filing of the original Form 4, it was determined that the reporting person was allocated more shares than initially determined. The reporting person has filed this Form 4/A to report the final number of shares allocated to him in connection with the Rights Offering, which was 14,856 shares more than the number originally reported.
- (2) The subscription price was converted from C\$3.19 based on the December 17, 2012 closing rate of exchange of 0.9833 Canadian dollars per U.S. dollars as quoted by Reuters.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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