Edgar Filing: INTERNATIONAL BUSINESS MACHINES CORP - Form 4

| INTERNATION | NAL BUSINE | ESS MAC | HINES | CORP | | | | | |
|--|---|---------------|---------------------------------|--|--|--|---|--|---|
| Form 4 November 07, 2 | 2013 | | | | | | | | |
| FORM 4 | л | | | | | | | | PPROVAL |
| | UNITED | STATES | | | AND EX n, D.C. 20 | | E COMMISSION | N OMB Number: | 3235-0287 |
| Check this box if no longer | | | | | | | | Expires: | January 31, 2005 |
| subject to Section 16. SECURITIES | | | | | | | | Estimated burden hou response | average Jrs per |
| Form 5 obligations may continue <i>See</i> Instruction 1(b). | e. Section 17(| (a) of the | Public U | Jtility Ho | lding Cor | | nge Act of 1934, of 1935 or Section 1940 | | |
| (Print or Type Resp | oonses) | | | | | | | | |
| 1. Name and Addr Weber Robert | 2. Issuer Name and Ticker or Trading Symbol | | | 5. Relationship of Reporting Person(s) to Issuer | | | | | |
| INTERNATIONAL BUSINE MACHINES CORP [IBM] | | | | | (Check all applicable) | | | | |
| (Last) (First) (Middle) | | | 3. Date of Earliest Transaction | | | | Director X Officer (given the second se | | % Owner her (specify |
| IBM CORPORATION, ONE NEW ORCHARD ROAD | | | (Month/Day/Year) 11/06/2013 | | | below) below) Senior Vice President | | | |
| Filed(Month/I | | | | Month/Day/Year) Applicable Line) _X_Form filed by | | | oint/Group Filing(Check One Reporting Person More than One Reporting | | |
| ARMONK, NY | 7 10504 | | | | | | Person | wore than one R | eporting |
| (City) | (State) | (Zip) | Tab | ole I - Non- | -Derivative | Securities A | Acquired, Disposed | of, or Beneficia | lly Owned |
| | Fransaction Date onth/Day/Year) | Execution any | Date, if | Code | 4. Securit onAcquired Disposed (Instr. 3, 4 | (A) or of (D) | Securities Beneficially Owned | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
| | | | | Code V | Amount | or (D) Price | (Instr. 3 and 4) | | |
| | | | | | | | | | |
| Reminder: Report | on a separate line | e for each cl | ass of sec | curities ben | - | - | or indirectly. spond to the colle | ction of s | SEC 1474 |
| | | | | | inforn requir | nation con red to resp iys a curre | tained in this form ond unless the fo ntly valid OMB co | n are not rm | (9-02) |
| | Tab | | | | | posed of, or convertible | Beneficially Owner securities) | 1 | |

| 1. Title of | 2. | 3. Transaction Date | 3A. Deemed | 4. | 5. | 6. Date Exercisable and | 7. Title and Amount of | 8. Price of |
|-------------|------------|---------------------|--------------------|------------|--------|-------------------------|------------------------|-------------|
| Derivative | Conversion | (Month/Day/Year) | Execution Date, if | Transactio | Number | Expiration Date | Underlying Securities | Derivative |

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| Security (Instr. 3) | or Exercise Price of Derivative Security | | any (Month/Day/Year) | Code (Instr. 8) | of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | Year) | (Instr. 3 and 4 | 4) | Security (Instr. 5) |
|--------------------------|---|------------|-------------------------|--------------------|---|---------------------|--------------------|-----------------|--|------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | |
| Phantom Stock Unit | \$ 0 <u>(1)</u> | 11/06/2013 | | A <u>(2)</u> | 40 | (3) | (3) | Common Stock | 40 | \$ 0 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|---|---------------|-----------|-----------------------------|-------|--|--|
| 1 | Director | 10% Owner | Officer | Other | | |
| Weber Robert C IBM CORPORATION ONE NEW ORCHARD ROAD ARMONK, NY 10504 | | | Senior Vice President | | | |
| Signatures | | | | | | |

| M. F. SooHoo on behalf of R. C. | |
|---------------------------------|------------|
| Weber | 11/07/2013 |
| **Signature of Reporting Person | Date |

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Phantom stock units convert to the cash value of the company's common stock on a one-for-one basis.
- (2) Acquisition of phantom stock units under the IBM Excess 401(k) Plus Plan.
- Distribution of phantom stock units under the IBM Excess 401(k) Plus Plan is deferred until separation from the company. The reporting (3) person may transfer these phantom stock units into an alternative investment account under such plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.