Health Insurance Innovations, Inc.

Form 4

April 03, 2014

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

0.5

Form 4 or Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

response...

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3ee ms 1(b).

(Print or Type Responses)

1. Name and Ad Kosloske Mi	•	rting Person *	2. Issuer Name <b>and</b> Ticker or Trading Symbol	5. Relationship of Reporting Person(s) to Issuer		
			Health Insurance Innovations, Inc. [HIIQ]	(Check all applicable)		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	_X_ Director _X_ 10% Owner _X_ Officer (give title Other (specify		
15438 N. FLORIDA AVENUE, SUITE 201			04/03/2014	below) below) Chairman, President and CEO		
	(Street)		4. If Amendment, Date Original	6. Individual or Joint/Group Filing(Check		
TAMDA EI	22612		Filed(Month/Day/Year)	Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting		
TAMPA, FL 33613				Person		
(0:4)	(C+-+-)	(7.)				

(C:tv)	(Ctata)	(7:n)	
(City)	(State)	(Zip)	Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

		140	1011	Dellyativ	Coccu	rices ricqui	rea, Disposea or,	or Deliciteiai	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	3. 4. Securities Acquired (A) Transactiomr Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
			Code V	Amount	or (D)	Price	(Instr. 3 and 4)	, ,,	
Class A Common Stock	04/01/2014		S	5,300 (1)	D	\$ 10.2482 (2)	52,600	D	
Class A Common Stock	04/02/2014		S	6,400 (1)	D	\$ 10.131 (3)	46,200	D	
Class A Common Stock	04/03/2014		S	2,775 (1)	D	\$ 10.0566 (4)	43,425	D	
Class A							8,900	I	see

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Common Stock		footnote $(5)$
Class A Common	8,566,667 <u>(6)</u> I	see footnote
Stock		<u>(6)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	nt of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting owner runter runtess	Director	10% Owner	Officer	Other		
Kosloske Michael W 15438 N. FLORIDA AVENUE SUITE 201 TAMPA, FL 33613	X	X	Chairman, President and CEO			
Kosloske Lori 15438 N. FLORIDA AVENUE SUITE 201 TAMPA, FL 33613		X		Chief Compliance Officer		
Health Plan Intermediaires, LLC 15438 N. FLORIDA AVENUE SUITE 201 TAMPA, FL 33613		X				
		X				

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Health Plan Intermediaries Sub, LLC 15438 N. FLORIDA AVENUE SUITE 201 TAMPA, FL 33613

#### **Signatures**

/s/ Joan Rodgers, as Attorney-in-Fact

04/03/2014

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effected pursuant to a Rule 10b5-1 trading plan, which was adopted by Mr. Kosloske on December 13, 2013.
- The price reported in Column 4 represents the weighted average price of multiple transactions with a range of prices between \$10.17 to \$10.62, inclusive. Mr. Kosloske undertakes to provide, upon request by the SEC, Health Insurance Innovations, Inc., or a security holder of Health Insurance Innovations, Inc. full information regarding the number of shares sold at each separate price within the range.
- The price reported in Column 4 represents the weighted average price of multiple transactions with a range of prices between \$10.00 to \$10.275, inclusive. Mr. Kosloske undertakes to provide, upon request by the SEC, Health Insurance Innovations, Inc., or a security holder of Health Insurance Innovations, Inc. full information regarding the number of shares sold at each separate price within the range.
- The price reported in Column 4 represents the weighted average price of multiple transactions with a range of prices between \$10.00 to \$10.16, inclusive. Mr. Kosloske undertakes to provide, upon request by the SEC, Health Insurance Innovations, Inc., or a security holder of Health Insurance Innovations, Inc. full information regarding the number of shares sold at each separate price within the range.
- (5) By Lori Kosloske. Michael Kosloske and Lori Kosloske are husband and wife.
  - This number of shares consists of 8,480,000 shares of Class B Common Stock held of record by Health Plan Intermediaries, LLC ("HPI") and 86,667 shares of Class B Common Stock held by Health Plan Intermediaries Sub, LLC ("HPIS"). Michael Kosloske ("MK") is the sole member and primary manager of HPI, and has sole voting and dispositive power over the shares held by HPI. HPI is the sole
- (6) managing member of HPIS and has sole voting and dispositive power over the shares held by HPIS. MK, by virtue of his control of HPI and HPI's control of HPIS, may be deemed to beneficially own all the shares of Class B Common Stock held of record by each of HPI and HPIS. The shares of Class B Common Stock, together with the Series B Membership Interests of HPI, are exchangeable, at MK's election, for equal number of shares of Class A Common Stock. This exchange right has no expiration date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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