Kelly Michelle M Form 4 July 28, 2017

# FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

Number:

3235-0287

7. Nature of

Ownership

(Instr. 4)

Indirect

Form: Direct Beneficial

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

2. Issuer Name and Ticker or Trading

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Last)

(City)

(Instr. 3)

Common

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Kelly Michelle M

(First) (Middle)

999 PEACHTREE STREET. NE, SUITE 688

(Street)

(State)

06/30/2017

**OXFORD INDUSTRIES INC** [OXM]

3. Date of Earliest Transaction

(Month/Day/Year) 06/30/2017

Symbol

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to

Issuer

(Check all applicable)

Director 10% Owner X\_ Officer (give title Other (specify below)

below) CEO Lilly Pulitzer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

6.

Ownership

Indirect (I)

(Instr. 4)

(D) or

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Price

53.117

ATLANTA, GA 30309

1. Title of 2. Transaction Date 2A. Deemed Security

(Month/Day/Year) Execution Date, if (Month/Day/Year)

(Zip)

4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8)

Code V Amount (D)

V 113 (1) A

(A)

Following Reported Transaction(s) (Instr. 3 and 4)

5. Amount of

Securities

Owned

Beneficially

29,169 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Titl	e and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D	ate	Amou	nt of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Under	lying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securi	ities	(Instr. 5)	Bene
	Derivative				Securities		(Instr.	3 and 4)		Owne	
	Security				Acquired						Follo
	-				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									Amount		
						Date	Expiration		or		
							Date		Number		
				G 1 W	(A) (D)				of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kelly Michelle M 999 PEACHTREE STREET, NE SUITE 688 ATLANTA, GA 30309

CEO Lilly Pulitzer

# **Signatures**

/Suraj A. Palakshappa/ Attorney in Fact for Michelle M Kelly

07/28/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Purchase of shares under the Oxford Industries, Inc. Employee Stock Purchase Plan at a 15% discount on the closing market price on the last day of the purchase period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. ING="0" WIDTH="100%" BORDER="0" STYLE="BORDER-COLLAPSE; font-family:Times New Roman; font-size:10pt" ALIGN="center">

#### Item 1.

(a)

#### Name of Issuer:

Reporting Owners 2

Houlihan Lokey, Inc.
(b)
Address of Issuer s Principal Executive Offices:
10250 Constellation Blvd., 5th Floor, Los Angeles, California 90067  Item 2.
(a)
Name of Person Filing:
Anita Antenucci
(b)
Address or Principal Business Office or, if none, Residence:
10250 Constellation Blvd., 5th Floor, Los Angeles, California 90067
(c)
Citizenship or Place of Organization:

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United States
(d)
Title of Class of Securities:
Class A Common Stock, par value \$0.001 per share
(e)
CUSIP Number:

## Item 3. If this statement is filed pursuant to §§240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

Not applicable.

441593100

## Item 4. Ownership:

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a)	Amount beneficially owned:	729,771
(b)	Percent of class:	5.4%(1)
(c)	Number of shares as to which such person has:	
	(i) Sole power to vote or to direct the vote:	0(2)
	(ii) Shared power to vote or to direct the vote:	0
	(iii) Sole power to dispose or to direct the disposition of:	729,771(2)
	(iv) Shared power to dispose or to direct the disposition	
	of:	0

- (1) Based upon 12,826,811 shares of Class A common stock as of February 3, 2017 as reported in the Issuer s Quarterly Report on Form 10-Q for the quarterly period ended December 31, 2016 filed with the Securities and Exchange Commission on February 6, 2017 and 729,771 shares of Class B common stock (representing the right to acquire Class A Common Stock on a one-for-one conversion basis) held by the reporting person. Includes 134,702 shares of unvested Class B Common Stock that are subject to forfeiture and cannot be transferred until they vest.
- (2) Certain stockholders (the HL Holders), including the Reporting Person, have agreed to hold their shares of Class B common stock indirectly through a voting trust (the HL Voting Trust) formed pursuant to a voting trust agreement (the Voting Trust Agreement) under which all decisions with respect to the voting (but not the disposition) of the Class B common stock held by the HL Holders will be made by the trustees of the HL Voting Trust (the Trustees), in their sole and absolute discretion, without fiduciary duties of any kind to the HL Holders. Pursuant to the Voting Trust Agreement the Trustees, acting by majority vote, have voting control over the Trust Shares, but no dispositive power over any of the Trust Shares. Each HL Holder retains sole dispositive power over the shares deposited in the HL Voting Trust.

# Item 5. Ownership of Five Percent or Less of a Class:

Not applicable.

# **Item 6.** Ownership of More Than Five Percent on Behalf of Another Person: Not applicable.

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on by the Parent Holding Company or Control Person:

Not applicable.

**Item 8. Identification and Classification of Members of the Group:** Not applicable.

Item 9. Notice of Dissolution of Group:

Not applicable.

**Item 10. Certifications:** 

Not applicable.

Filed as Exhibit:

Description

## Edgar Filing: Kelly Michelle M - Form 4

Exhibit No.

Power of Attorney (incorporated by reference to Exhibit 99.1 of Schedule 13G filed August 28, 2015)

4

#### **SIGNATURE**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: February 13, 2017

#### **ANITA ANTENUCCI:**

By: /s/ J. Lindsey Alley Name: J. Lindsey Alley

Title: Attorney-in-Fact for Anita

Antenucci