

SHUCK ROBERT F
Form 5
October 04, 2002

UNITED STATES SECURITIES AND
EXCHANGE COMMISSION Washington,
D.C. 20549

FORM 5

Check this box if no longer
subject to Section 16. Form 4 or
Form 5 obligations may continue.
See Instruction 1(b). Form 3
Holdings Reported Form 4
Transactions Reported

**STATEMENT OF CHANGES IN
BENEFICIAL OWNERSHIP**

OMB APPROVAL

OMB Number:
3235-0362 Expires:
September 30, 1998
Estimated
average burden
hours per response 1.0

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a)
of the Public Utility Holding Company Act of 1934 or Section 30(f) of the Investment
Company Act of 1940

(Print or Type
Responses)

1. Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading Symbol 6. Relationship of Reporting Person(s) to Issuer
(Check all applicable)

Shuck Robert F. III Raymond James Financial (RJF) Director 10%
(Last) (First) (Middle) Security Number of Reporting Person (Voluntary) 4. Statement for Month/Year **Sept. 2002** Officer (give title below) Vice Chairman
880 Carillon Parkway (Street) 5. If Amendment Date of Original Form filed by One Reporting Person Form filed by More than One Reporting Person
St. Petersburg FL 33716 (City) (State) (ZIP)

Table I – Non Derivative Securities Acquired, Disposed of, or beneficially Owned

1. Title of Security (Instr. 3)	2. Trans- action Date (Month/ Day/ Year)	3. Trans- action Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at End of Month (Instr. 3 and 4)	6. Owner- ship Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Owner- ship (Instr. 4)
Raymond James Financial, Inc. (RJF) RJF Common Stock			(D)	254,837	D	
RJF Common Stock			(D)	215,893	I	Spouse
RJF Common Stock			(D)	2,884	I	ESOP

**NO UNREPORTED
ACTIVITY**

* If the form is filed by more than one reporting person,
see Instruction 4(b)(v).

(Over)
SEC 2270
(9-96)

FORM 5 Table II – Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls,
(continued) warrants, options, convertible securities)

1. Title of Derivative Security	2. Conver- sion or Exercise Date Price of Deri- vative Security	3. Trans- action Date of (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) (Month/ Day/ Year)	5. Number or Deriv- ative Securities Ac- quired (A) or Dis- posed of (D) (Instr. 3, 4, and 5)	6. Date Exer- cisable and Expiration Date (Month/Day/ Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of deriv- Secur- ity (Instr. 5)	9. Number of deriv- Secur- ities (Instr. 4)	10. Owner- ship Form of Security: (D) or Indirect (Instr. 4)	11. Nature of Indirect Benefi- cial Owner- ship (Instr. 4)
Employee Stock Option (Right to buy)				(A) (D)	Current	1/18/2003	Common stock	3,000	22.1667	
Employee Stock Option (Right to buy)					11/18/2002	1/18/2003	Common stock	750	22.1667	
Employee Stock Option (Right to buy)					9/28/2003	9/28/2004	Common stock	200	20.5000	
Employee Stock Option (Right to buy)					9/27/2004	9/27/2005	Common stock	1000	19.1875	
Employee Stock Option (Right to buy)					10/2/2005	10/2/2006	Common stock	300	31.3750	

Edgar Filing: SHUCK ROBERT F - Form 5

buy)								
Employee								
Stock Option								
(Right to								
buy)	10/1/2006	12/1/2006	Common	300	26.0500			
Employee			stock					
Stock Option								
(Right to								
buy)	11/28/04-11/28/06	1/28/2007	Common	4,000	32.0000			
Employee			stock					
Stock Option								
(Right to								
buy)	11/28/2004	1/28/2007	Common	325	32.0000			
Employee			stock					
Stock Option								
(Right to								
buy)	11/28/04-11/28/06	1/28/2007	Common	425	32.0000	10,300	D	
Employee			stock					
Stock Option								
(Right to								
buy)								
Call options								
(obligation								
to sell)	5/9/2002	11/16/2002	Common	5,000	220.00		D	
Call options			stock					
(obligation								
to sell)	5/9/2002	11/16/2002	Common	5,000	220.00		I	Spouse
			stock					

Explanation of Responses:

**Intentional misstatements or omissions of facts constitute Federal Criminal Violations, See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/S/ ROBERT F. SHUCK
 **Signature of Reporting Person

October 3, 2002
 Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient. see Instructions 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.