# Edgar Filing: ALLIANCE RESOURCE PARTNERS LP - Form 4

### ALLIANCE RESOURCE PARTNERS LP

Form 4 February 18, 2003

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### OMB APPROVAL

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\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

Name and Address of Reporting Per  Rathburn Gary J.		ame <b>and</b> Ticlesource Part		Per to I	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)						
(Last) (First) (Middle)  1717 S. Boulder Avenue Suite 600	3. I.R.S. Ide of Reporting if an entity	_	lumber	Mon	4. Statement for Month/Day/Year <b>2/14/03</b>		Director 10% Owner X Officer (give title below) Other (specify below)				
						Pre	<u>nior Vice</u> esident-Mar				
(Street) Tulsa, OK 74119				Date	5. If Amendment, Date of Original (Month/Day/Year)		7. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One				
(City) (State) (Zip)	Tabl	e I Non-D	erivati	ve Seci	urities Acquired,		oorting Perso				
1. Title of 2. Trans- 2A. Dec Security action Executi (Instr. 3) Date Date,	med 3. Trans-	4. Securitie (A) or Disp (Instr. 3, 4	es Acqu oosed of	ired	5. Amount of Securities Beneficially		6. Owner- ship Form: Direct (D)	7. Nature of Indirect Beneficial			
(Month/ Day/ if any Year) (Month/I Year)	Day/ Code V	Amount	(A) or (D)	Price	Owned Following Reported Transactions(s) (Instr. 3 & 4)		or Indirect (Instr. 4)	(I) Ownership (Instr. 4)			
Common Unit						6,500	D				
Common Unit						3,000	I	By daughter			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $Persons \ who \ respond \ to \ the \ collection \ of \ information \ contained \ in \ this \ form \ are \ not \ required \ to \ respond \ unless \ the \ form \ displays \ a \ currently \ valid \ OMB \ control \ number$ 

# FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

		( -	- 18 · 1 · 1 · 1 · 1 · 1	,		, - <b>-</b>		~,			
1. Title of	2. Conver-	3.	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	Trans-	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect
Security	Exercise	action	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial
	Price of	Date	Date,	Code	Derivati	( <b>M</b> onth/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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` ′		(Month/ Day/	if any (Month/ Day/ Year)	(Instr. 8)	Acq (A) Disp of (I	Acquired A) or Disposed of (D) Instr.			(Instr. 3 & 4)		Fol Rep Tra	Following Reported Transaction(s) (Instr. 4)	of Derivative Security: Direct (D) or Indirect (I) (Instr. 4)	(Instr. 4)
				Code V	(A)		Exer-cisable	Expira- tion Date		Amount or Number of Shares				
Phantom unit	1 for 1	2/14/03		A	116		(1)		Common Unit	116		5,293	D	
Restricted unit	1 for 1						(2)	_	Common Unit			25,600	D	

Explanation of Responses:

(1) The Phantom units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee, upon the reporting person's death or termination.

(2) The Restricted units will vest when the issuer meets certain financial tests described in the Agreement of Limited Partnership of the Issuer which would typically be no earlier than September 30, 2004. Once vested the restricted units are to be settled in either cash or ARLP common units, at the election of the Compensation Committee.

By: /s/ Gary J. Rathburn

February 14, 2003

Date

\*\*Signature of Reporting Person

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

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<sup>\*\*</sup>Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).