NICHOLAS FINANCIAL INC Form 8-K May 09, 2013

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, DC 20549

# FORM 8-K

#### **CURRENT REPORT**

**PURSUANT TO SECTION 13 OR 15(d)** 

OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported) May 9, 2013

# NICHOLAS FINANCIAL, INC.

(Exact name of registrant as specified in its Charter)

British Columbia, Canada (State or Other Jurisdiction of

0-26680 (Commission 8736-3354 (I.R.S. Employer

**Incorporation or Organization)** 

File Number)

Identification No.)

#### 2454 McMullen Booth Road, Building C

Clearwater, Florida (Address of Principal Executive Offices) (727) 726-0763 33759 (Zip Code)

(Registrant s telephone number, Including area code)

#### Not applicable

(Former name, former address and former fiscal year, if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Item 2.02 Results of Operations and Financial Condition

May 9, 2013 Clearwater, Florida - Nicholas Financial, Inc. (NASDAQ: NICK) announced that for the three months ended March 31, 2013, net earnings decreased 20% to \$4,865,000 as compared to \$6,045,000 for the three months ended March 31, 2012. Per share diluted net earnings decreased 20% to \$0.40 as compared to \$0.50 for the three months ended March 31, 2012. Revenue increased 3% to \$17,688,000 for the three months ended March 31, 2013 as compared to \$17,182,000 for the three months ended March 31, 2012.

#### **Item 9.01 Financial Statements and Exhibits**

#### Exhibit

# Description

99.1 Press release dated May 9, 2013.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this Report to be signed on its behalf by the undersigned, hereunto duly authorized.

# NICHOLAS FINANCIAL, INC.

(Registrant)

Date: May 9, 2013 /s/ Peter L. Vosotas

Peter L. Vosotas

Chairman, President, Chief Executive Officer

(Principal Executive Officer)

Date: May 9, 2013 /s/ Ralph T. Finkenbrink

Ralph T. Finkenbrink

Senior Vice President, Chief Financial Officer

(Principal Financial Officer and Accounting Officer)

# **Exhibit Index**

**Exhibit** Description

99.1 Press release dated May 9, 2013.